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Mechanical models favor a ramp geometry for the Ventura-Pitas Point fault, California

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6

7 Key Points

- Two models proposed for Ventura-Pitas Point fault are tested using mechanical models: 1)
 ramp model and 2) a constant dip model.
- Models of the ramp geometry for the Ventura-Pitas Point fault system better fit geologic slip
 rate and vertical GPS deformation patterns.
- Mechanical models of the SCEC CFM5.0 fit regional slip rate data better than previous CFM versions.
- 14

16 Abstract

Recent investigations have provided new and significantly revised constraints on the 17 18 subsurface structure of the Ventura-Pitas Point fault system in southern California; however, few 19 data directly constrain fault surfaces below ~6 km depth. Here, we use geometrically complex 20 three-dimensional mechanical models driven by current geodetic strain rates to test two proposed 21 subsurface models of the fault system. We find that the model that incorporates a ramp geometry 22 for the Ventura-Pitas Point fault better reproduces both the regional long term geologic slip rate 23 data and interseismic GPS observations of uplift in the Santa Ynez Mountains. The model-24 calculated average reverse slip rate for the Ventura-Pitas Point fault is 3.5 ± 0.3 mm/yr, although 25 slip rates are spatially variable on the fault surface with > 8 mm/yr predicted on portions of the 26 lower ramp section at depth.

27

28 **1. Introduction**

29 Awareness of the hazards associated with continental thrust faults has increased 30 considerably in recent years, following recent damaging thrust earthquakes including the 1994 31 M6.7 Northridge, 1999 M7.6 Chi Chi, 2005 M7.5 Kashmir, 2008 M7.9 Wenchuan, 2015 M7.8 32 Gorkha, and the 2016 M7.8 Kaikoura events. Notably, the 2008 M7.9 Wenchuan event involved 33 coordinated rupture on multiple geometrically-complex thrust segments [Shen et al., 2009; Xu et 34 al., 2009; Hubbard et al., 2010]. Evidence for several large magnitude (~M8) multi-fault 35 ruptures has recently been suggested to have occurred along the Ventura-Pitas Point fault system 36 in southern California [Hubbard et al., 2014; McAuliffe et al., 2015; Rockwell et al., 2016]. The 37 potential effects of a repeat event of this type on the densely populated urban areas of the

38 Ventura and Los Angeles basins are likely severe, including strong shaking [Field, 2000],

39 tsunami formation and associated infrastructure damage and human and economic losses [Ryan

40 *et al.*, 2015]. Therefore, detailed knowledge of the subsurface fault geometry of this system is

41 vital for accurate future hazard assessments in southern California.

42 The Ventura-Pitas Point fault system lies in the Western Transverse Ranges of southern 43 California amongst a network of non-planar oblique reverse faults (Figure 1). In the city of 44 Ventura, McAuliffe et al. [2015] document subsurface stratigraphic evidence for a minimum of 45 5.2-6.0 meters of uplift in the two most recent earthquake events along the Ventura fault. To the 46 west, along the coast near Pitas Point, a series of uplifted emergent marine terraces preserve 47 evidence for up to four events in the last 6,700 years, each with 7-11 meters of associated 48 coseismic uplift [Rockwell et al., 2016]. Such large magnitude coseismic uplifts imply a history 49 of ~M8.0 earthquakes which, in turn, require a long fault, capable of ~10 m of slip per event 50 [Hubbard et al., 2014; McAuliffe et al., 2015; Rockwell et al., 2016]. Along with these recent 51 discoveries of large magnitude paleo-slip events, Hubbard et al. [2014] provide subsurface 52 geophysical evidence that the Ventura fault is structurally linked with the Pitas Point fault to the 53 west and with the San Cayetano fault to the east, forming a single through-going seismically 54 active fault surface of > 100 km length. Henceforth, we refer to this single continuous fault 55 surface as the Ventura-Pitas Point (VPP) fault.

Despite numerous analyses of subsurface borehole and geophysical data across the VPP
fault [*Sarna-Wojcicki et al.*, 1976; *Yeats*, 1982; 1983; *Rockwell et al.*, 1984; *Huftile and Yeats*,
1995; 1996; *Hubbard et al.*, 2014], few geophysical data exist that can uniquely resolve the VPP
fault structure at depths > 6 km. Thus, two distinct models have been proposed for the deep fault
structure. The first model, which we term the "ramp model," is based on *Hubbard et al.* [2014]

61 and represents the VPP fault flattening into a nearly horizontal décollement at \sim 7 km depth and 62 then steepening into a lower ramp section farther north (Figure 1). The second model, which we 63 term the "no ramp model," maintains a nearly constant dip angle as is observed in the shallow 64 portions of the fault until the fault merges with the Red Mountain fault at a depth of 10 km 65 (Figure 1). This model is based on extending the near surface portion of the VPP fault to agree 66 with earthquake hypocenters from two recent earthquake aftershock sequences [Kammerling et 67 al., 2003]. These alternate VPP fault geometries are markedly different from past realizations of 68 the fault system [e.g. Plesch et al., 2007; Marshall et al., 2008; Marshall et al., 2013] and imply 69 different structural linkages with several other faults in the region at depth. For example, the 70 ramp model links the VPP and San Cayetano faults at depth whereas the San Cayetano fault is 71 unconnected to any other subsurface structure in the no ramp representation. Furthermore, in the 72 ramp model, the Red Mountain fault is truncated by the VPP fault, so the Red Mountain fault 73 only exists above 8 km depth. Because existing data cannot directly resolve the deep fault 74 structure, both Ventura-Pitas Point fault models are plausible and warrant testing with 75 independent data.

Here, we test the two proposed VPP fault system geometries against geologic slip rate data
and geodetic velocities, using an established mechanical modeling method, in order to ascertain
which VPP fault geometry is most compatible with both long term slip rate and short term
geodetic data.

81 2. Mechanical Modeling of Long-Term Slip Using Realistic Fault Geometries

82 The first step in our modeling process is to produce representations of the ensemble fault 83 geometries of the two competing fault geometric models. Our modeled fault surfaces in the 84 western Transverse Ranges are based upon the Southern California Earthquake Center (SCEC) 85 Community Fault Model version 5.0 (CFM5.0), with additional modifications for the ramp and 86 no ramp cases. The CFM5.0 represents a compilation of detailed geometric information about 87 the faults in southern California based upon all available geologic, geophysical, and geodetic 88 data [Plesch et al., 2007]. As uniformity of fault element shapes is preferred for stability in our 89 numerical modeling codes, we fit meshes of tessellated near-equilateral triangular elements to 90 the CFM5.0 fault surfaces, taking care to preserve any geometrical complexities and 91 irregularities present. In total, 74 structures are represented in the two alternative fault models, with over 18,000 individual triangular elements in each, and a mean element size of ~3.8 km². A 92 93 three-dimensional interactive version of the fault meshes, a complete fault trace map, and the 94 fault mesh numeric data are provided with the accompanying auxiliary materials (Figures S1-95 S5), and additional details on the meshing procedure are provided in the supplementary 96 materials.

97 Next, we use the method of *Marshall et al.* [2013] to estimate the distribution of fault slip 98 on the fault ensembles, testing both the ramp and no ramp cases. We summarize the procedure 99 here, but additional details of the modeling methodology are provided in the supplementary 100 materials. The best-fitting regional-scale horizontal strain rate tensor from GPS data, with the 101 three-dimensional effects of deformation from the San Andreas fault removed [*Marshall et al.*, 102 2013] is resolved onto our meshed fault surfaces, using the Boundary Element Method code, 103 Poly3D [*Thomas*, 1993], allowing each element to slip freely. This formulation allows us to 104 calculate distributions of fault slip that are kinematically compatible with the applied regional 105 strain rate, while simultaneously accounting for mechanical interactions between all modeled 106 fault elements. In this way, we estimate slip rates for each modeled fault element that can be 107 compared individually or collectively to geologic estimates of long-term slip rates.

108 The model-calculated average reverse slip rates for each fault, for both the ramp and no 109 ramp cases are compared to existing geologic estimates in Figure 2. Although our model results 110 provide a distribution of slip rates across each fault surface, for the purposes of comparison we 111 estimate a single area-weighted average slip rate and area-weighted standard deviation of slip 112 values for each surface and plot the 1σ ranges as error bars in Figure 2. Thus, a large error bar on 113 Figure 2 represents a fault surface with large spatial variations in slip rates. We compare the 114 model calculated average slip rates with two other quantities: 1) geologic reverse slip rate 115 estimates and 2) the corresponding average reverse slip rate estimates from our earlier study 116 [Marshall et al., 2013], based on the older and significantly different CFM4.0 fault geometries 117 which lack structural connections between the VPP faults. Geologic reverse slip rate ranges are 118 taken from the UCERF3 report [Field et al., 2013; 2014] with the exceptions of the upper slip 119 bound of 1.4 mm/yr for the Simi fault [DeVecchio et al., 2012], and the 4.4-10.5 mm/yr slip rate 120 range of the VPP [Hubbard et al., 2014]. Although most of the faults in the region are likely to 121 have an oblique component of slip [Marshall et al., 2008], there are no well-constrained long-122 term estimates of strike-slip rates in the region. We therefore focus on comparing the existing 123 reverse slip rate estimates to the model predictions.

We find that the ramp model agrees with all of the geologic slip rate ranges within the model-calculated 1σ ranges, and that the no ramp model matches fourteen out of fifteen of the geologic slip rates with the only mismatch occurring on the San Cayetano fault. Both of these 127 CFM5.0 models fit the geologic slip rate data better the CFM4.0 model of *Marshall et al.* [2013], 128 which does not fit two key regional faults: the Red Mountain and VPP faults. The CFM4.0 129 model predicts slower average slip rates on the VPP fault overall than are supported by the 130 geologic data (Figure 2), and due to its small surface area (compared to CFM5.0) is likely 131 incompatible with the numerous recent discoveries of large magnitude uplift events along the 132 fault [*Hubbard et al.*, 2014; *McAuliffe et al.*, 2015; *Rockwell et al.*, 2016].

133 Due to large uncertainties in the existing long-term slip rate estimates, it is not surprising 134 that all of the models fit the majority of existing slip rates within the existing ranges. To better 135 distinguish which model is most compatible with existing slip rates, we now focus on examples 136 of stark differences in model predicted slip rates between two key regional faults. In the ramp 137 model, the Red Mountain fault is truncated by the VPP fault along the horizontal ramp at a depth 138 of ~7 km, which dramatically slows down the Red Mountain fault slip rates. The no ramp model 139 predicts much faster slip rates for the Red Mountain fault because the VPP fault is truncated by 140 the Red Mountain fault at 10 km depth. In essence, the ramp model geometry suggests that the 141 VPP fault is the master regional fault at depth, and is therefore the main driver of interseismic 142 deformation, while the no ramp model suggests the Red Mountain fault is the master fault at 143 depth. We prefer the slower slip rate of the ramp model for the Red Mountain fault because 1) 144 the Red Mountain fault does not have a clear geomorphic signature (i.e. a young sharp 145 topographic scarp), while the VPP does [*McAuliffe et al.*, 2015], and 2) the UCERF3 preferred 146 reverse slip rate is 2 mm/yr [*Field et al.*, 2013], which is only within the 1σ range of the ramp 147 model.

Additionally, the two CFM5.0 models predict significantly different average slip rates for
the San Cayetano fault (Figure 2). The ramp model predicts much faster slip rates that are closer

to the UCERF3 preferred slip rate of 6 mm/yr for the San Cayetano fault. We therefore again
suggest that the ramp model better fits the geologic slip rate data.

152 Long term fault slip rates throughout the western Transverse Ranges are likely to exhibit 153 significant spatial variations [e.g. Marshall et al., 2008]. Given that the long term slip rate 154 estimate of Hubbard et al. [2014] is based on data that spans only small portion of the VPP fault 155 surface, we now seek to determine which model predicts compatible slip rates at the location of 156 the existing estimate, and if the existing estimate was made in a location that should yield an 157 average value for the entire fault surface. To accomplish this, we compute the distribution of slip 158 rates at the surface of the modeled half-space, which simulates the slip that may be observed in 159 the near surface by a geologic or near-surface geophysical study.

At the location of the *Hubbard et al.* [2014] study, both models predict local reverse slip rates that are compatible with the long term slip rate estimate within the error limits (Figure 3). Additionally, the ramp model predicts slip rates on the lower ramp section that exceed 8 mm/yr in some locations, which is compatible with the *Hubbard et al.* [2014] deep slip rate of 6.6-10.5 mm/yr.

165 The *Hubbard et al.* [2014] slip rate estimate for the VPP fault is located near the middle of 166 the VPP fault trace where both the ramp and no ramp models predict slip rates that are faster than 167 the weighted average slip rate over the entire VPP fault surface (Figure 3). In fact, both models 168 predict the fastest near surface slip rates should occur near the location of the *Hubbard et al.* 169 [2014] study. According to the ramp and no ramp models, the location of the *Hubbard et al.* 170 [2014] slip rate estimate should yield reverse slip rates that are 15% and 79%, respectively, 171 above average for the VPP fault as a whole.

173	3. Comparing Model-Predicted Interseismic Deformation Rates with GPS data
174	An alternative means of testing our competing models against data is to simulate the
175	expected interseismic deformation rates for each and compare them to GPS data. Since the ramp
176	and no ramp representations use significantly different deep fault structures for the VPP and Red
177	Mountain faults, the interseismic deformation produced by these two models is distinct.
178	For this analysis, we use continuous GPS data from 56 stations in the Plate Boundary
179	Observatory (PBO) network provided by the MEaSUREs project (ftp://sopac-
180	ftp.ucsd.edu/pub/timeseries/measures/ats/WesternNorthAmerica/). Here, we use the minimally -
181	pre-processed daily 'raw-trended' time series data, and apply an established time series
182	processing methodology [Marshall et al., 2013; Herbert et al., 2014], which we summarize here.
183	We select GPS stations with more than two years of data since 2004, which postdates the
184	vast majority of postseismic transient motion associated with the 1999 M7.1 Hector Mine
185	earthquake [Shen et al., 2011]. To estimate secular velocities at each station, we estimate and
186	remove annual and semi-annual motions, offsets from equipment changes, common mode error
187	[Dong et al., 2006], and co- and post-seismic deformation associated with the 2010 M7.2 El
188	Mayor Cucapah earthquake [Gonzalez-Ortega et al., 2014]. To isolate the tectonic deformation
189	associated with only faults in the western Transverse Ranges region, we additionally remove
190	interseismic deformation associated with the San Andreas, San Jacinto, and Garlock faults using
191	a kinematic rectangular dislocation model using the geometry, fault slip rates, and locking depths
192	from Loveless and Meade [2011]. We discard two GPS sites in the western Transverse Ranges
193	region due to clearly anomalous vertical velocities: VNCO and P729. Both of these sites were

identified by *Marshall et al.* [2013] as being in a zone of subsidence due to groundwaterextraction.

196 Existing studies of GPS velocities from the western Transverse Ranges region all show a 197 highly localized horizontal velocity gradient located directly above the Ventura sedimentary 198 basin [Donnellan et al., 1993a; 1993b; Hager et al., 1999; Marshall et al., 2013]. Hager et al. 199 [1999] showed that this sharp contraction gradient could be reproduced with a two-dimensional 200 finite element model with a spatially-variable low elastic modulus feature simulating the Ventura 201 sedimentary basin. As a result, Marshall et al. [2013] argue that the horizontal GPS velocities in 202 the western Transverse Ranges region are likely significantly contaminated by non-faulting-203 related deformation processes acting in the Ventura sedimentary basin. Therefore, we focus here 204 on whether the ramp or no ramp models better fit the vertical GPS deformation patterns.

205 In order to simulate interseismic deformation, we create a second set of models where we 206 prescribe the geologic timescale model-calculated slip rate values on elements below a chosen 207 locking depth and lock all elements above that depth [Marshall et al., 2009]. These interseismic 208 forward models can then be used to predict the velocities at the locations of GPS stations. We 209 note that these interseismic models are forward models, and therefore may not fit the GPS data 210 as well as a typical inverse model; however, since the interseismic models used here are based on 211 the mechanical model calculated slip rates, we can be certain that the subsurface slip rate 212 distributions are mechanically plausible. The focus here is to determine only which model fits 213 the general patterns of vertical deformation in the region.

Since the GPS data are spatially sparse (Figure 4), we project the vertical velocities of reliable sites within a 40km wide zone onto a N20W profile that extends through the western Transverse Ranges region (Figure 5). In general, the GPS profile shows ~1 mm/yr of subsidence

217 across the Ventura basin (approximately 25-55 km distance on Figure 5) and ~1 mm/yr of uplift 218 to the north of the basin (60-80 km on Figure 5). Interseismic model predictions for locking 219 depths of 10, 15, and 20 km clearly show that the no ramp model produces uplift too far south 220 compared to the GPS data. On the other hand, the ramp model with a locking depth of 15 km 221 predicts loci of relative uplift and subsidence in the approximately correct locations and therefore 222 fits the general pattern of GPS vertical deformation well overall. The under-fitting of the 223 subsidence signal (e.g. 30–55 km in Figure 5) is likely due to nontectonic compaction in the 224 sediments of the Ventura basin [e.g. Nicholson et al., 2007]. Therefore, we argue, that the 225 vertical GPS data favor a model that includes a shallow crustal ramp.

226

227 4. Conclusions

The CFM5.0 represents a significant update compared to previous CFM versions with completely updated representations of the VPP and several other major regional faults. Based on mechanical model results, CFM5.0 based mechanical models better match long term geologic slip rates compared to CFM4.0 based models. With this improved deformation model, we are now able to provide updated model-calculated slip rate estimates for all of the regional faults within the region where our modeled boundary conditions are appropriate (Table S1,

234 supplemental materials).

Uncertainty in the deep geometry of the VPP fault has led to the proposal of two distinct subsurface models (with and without a midcrustal ramp structure) in the CFM5.0. Mechanical model predictions indicate that the ramp model of the VPP fault is more compatible with existing regional geologic slip rate data compared to the no ramp model because the no ramp model 239 predicts geologically unlikely slip rates along the Red Mountain and San Cayetano faults.

240 Comparisons of CFM5.0 interseismic models to vertical GPS velocities show that the no ramp

241 model predicts interseismic uplift ~15 km too far south compared to the GPS velocities. In

contrast, the ramp model predicts loci of uplift and subsidence that largely agree with the data. In

the end, mechanical model predictions favor a ramp geometry for the VPP fault.

244

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381 Figures and Captions



383 Figure 1. a) Map of modeled fault traces of the western Transverse Ranges region based on the 384 SCEC CFM5.0. Dashed lines indicate blind and/or offshore faults and the orange trace shows the 385 extent of the Ventura fault in CFM4.0. Since the Pitas Point, Ventura, and South San Cayetano 386 faults form a single through-going surface, we refer to this single surface as the Ventura-Pitas 387 Point fault. Gold stars show the epicenters of the 1971 San Fernando and 1994 Northridge 388 earthquakes. Cross-sections through the b) ramp and c) no ramp models. Fault abbreviations are as flows: DV, Del Valle; San F., San Fernando; M. Hills, Mission Hills; Mission Ridge-AP/MR-389 390 AP, Mission Ridge-Arroyo Parida; CI Thrust, Channel Islands Thrust; Mid Ch., Mid Channel.





Figure 2. Model-calculated area-weighted average reverse slip rates (symbols) compared to
existing geologic slip rate estimates (gray rectangles) for faults in the western Transverse Ranges
region. For model-calculations, only elements within the seismogenic crust (< 20 km depth) are
used in the calculation.



Figure 3. a) Fault trace map of the VPP fault. A gold star marks the location of the slip rate
estimate of *Hubbard et al.* [2014]. b) Model-predicted slip distributions at the surface of the
Earth for the VPP fault. The gray rectangle shows the location and reverse slip rate range
estimated by *Hubbard et al.* [2014]. The red and blue ranges reflect uncertainty in the regional
strain rate boundary conditions.



405 **Figure 4.** GPS horizontal (arrows) and vertical (colored contours) velocities relative to station

406 CIRX in the Santa Monica Mountains. Thick black lines indicate the location of profiles used in

- 407 Figure 1 (A-A') and Figure 5 (B-B'). Stations AOA1, TOST, VNCO, P729, CUHS, BKR1,
- 408 TABV, and P554 are excluded here due to clearly anomalous vertical velocities.



409

410 Figure 5. a) N20W profile through GPS vertical velocities (gray triangles) in the western

411 Transverse Ranges region. Blue curves show model predictions for the no ramp model. All

412 velocities are relative to station CIRX. b) Cross-sections through the three dimensional model

413 showing the fault geometry at the profile location. Blue horizontal lines show the three locking

414 depths plotted in part a). c-d) Same as a-b) but for the ramp model.

Figure 1.



Figure 2.



Figure 3.



Figure 4.



Figure 5.

