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# Some Properties of Yao $Y_4$ Subgraphs

Joseph O'Rourke\*

May 14, 2009

## Abstract

The Yao graph for  $k = 4$ ,  $Y_4$ , is naturally partitioned into four subgraphs, one per quadrant. We show that the subgraphs for one quadrant differ from the subgraphs for two adjacent quadrants in three properties: planarity, connectedness, and whether the directed graphs are spanners.

## 1 Introduction

The Yao graph is defined for an integer parameter  $k$ ; here we study only  $k = 4$ , and call  $\vec{Y}_4$  the directed Yao graph, and  $Y_4$  the undirected version. For a set of points  $P$ ,  $\vec{Y}_4$  connects each point to its closest neighbor in each of the four quadrants surrounding it, defined as in Figure 1. Ties are broken arbitrarily. The undirected graph  $Y_4$  simply ignores the direction.

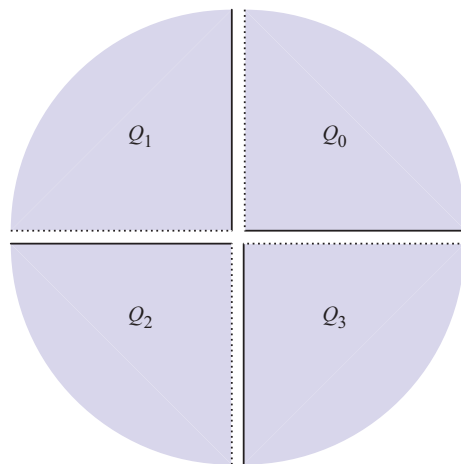


Figure 1: Definition of quadrants. Solid lines are closed, dotted lines are open.

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The question of whether  $Y_4$  is a spanner was raised in [DMP09]. A  $t$ -spanner has the property that the path between  $a$  and  $b$  in the graph is no longer than  $t|ab|$ , for a constant  $t$ . In this note, we do not further motivate the study of  $Y_4$ , but rather investigate some properties of subgraphs of  $Y_4$ , which may ultimately have some bearing on whether it is a spanner.

We make two “general position” assumptions:

1. No two pair of points determine the same distance (so there are no ties).
2. No two points share a vertical or horizontal coordinate.

These assumptions simplify the presentation. In this note, we will not explore whether the assumptions can be removed while retaining all the results.

**Notation.**  $Q_i(a, b)$  is the circular quadrant whose origin is at  $a$  and which reaches out to  $b$ . Often the subscript  $i$  will be dropped, as it is determined by  $a$  and  $b$ .  $Q_i(a)$  is the unbounded quadrant with corner at  $a$ . Thus,  $Q_i(a, b) = Q_i(a) \cap \text{disk}(a, |ab|)$ .  $R(a, b)$  is the closed rectangle with opposite corners  $a$  and  $b$ .

We focus on two adjacent quadrants,  $Q_0$  and  $Q_1$ . Let  $Y_4^{\{\lambda\}}$  be the  $Y_4$  graph restricted to the quadrants in the list  $\lambda$ . See Figure 2 for examples.

Our results are summarized in Table 1.

<i>Property</i>	$Y_4^{\{i\}}$	$Y_4^{\{i, i+1\}}$
Planarity	planar	not planar
Connectedness	not connected	connected
Undirected spanner	not a spanner	not a spanner
Directed spanner	spanner	not a spanner

Table 1: Summary of Results

## 2 Planarity

It is known that  $Y_4^{\{i\}}$  is a planar forest, in general disconnected; see Figure 2(a,b). This is folklore,<sup>1</sup> but we offer a proof of planarity.

**Lemma 1** *No two edges of  $Y_4^{\{i\}}$  properly cross.*

**Proof:** Let both  $ab$  and  $cd$  be in  $Y_4^{\{0\}}$ , and suppose  $ab$  and  $cd$  properly cross. see Figure 3. The quadrants  $Q(a, b)$  and  $Q(c, d)$  must be empty of points. We consider three cases, depending on the location of  $c$  w.r.t.  $a$ .

<sup>1</sup> Mirela Damian [private communication, Feb. 2009].

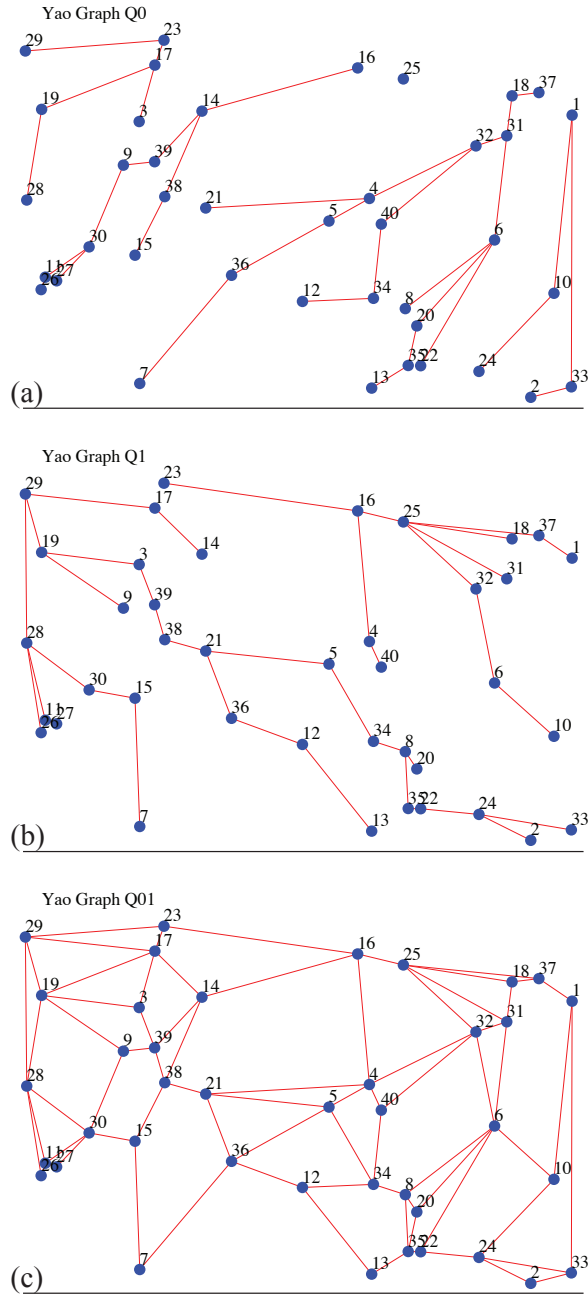


Figure 2:  $Y_4^{\{0\}}$ ,  $Y_4^{\{1\}}$ , and  $Y_4^{\{0,1\}}$ , for the same 40-point set.

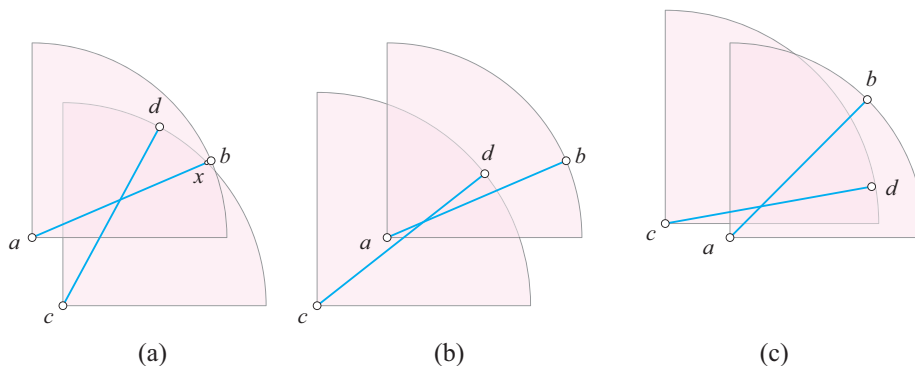


Figure 3:  $ab$  and  $cd$  may not cross.

1.  $c \in Q_3(a)$ . Then  $cd$  crosses  $ab$  from below. We analyze just this case in detail. Because  $b \notin Q(c, d)$ , the circular boundary of  $Q(c, d)$  must cut  $ab$ , say at  $x$ . Consider two further cases
  - (a) The slope of the arc of  $Q(c, d)$  at  $x$  is shallower than the slope of the arc of  $Q(a, b)$  at  $b$ ; see Figure 3(a). Then  $d \in Q(a, b)$ .
  - (b) The slope at  $x$  is equal to or steeper than that at  $b$ . Then, because  $c$  is strictly below  $a$ , the radius  $|cd|$  is greater than  $|ab|$ . But then  $c$  cannot be in  $Q_3(a)$ .
2.  $c \in Q_2(a)$ . Then  $cd$  could cross  $ab$  from below, Figure 3(b), or from above, Figure 3(c). In both cases, a quadrant that must be empty is not.
3.  $c \in Q_1(a)$ . This case is the same as the first case, with the roles of  $a$  and  $c$  interchanged.

□

In contrast,  $Y_4^{\{i, i+1\}}$  may be nonplanar. Figure 4(a) shows two crossing edges; (b) shows the full graph  $Y_4^{\{0, 1\}}$ .

As should be evident from Figure 2(c), crossing edges are rare, requiring precise placement of four points. Although it would be difficult to quantify, a “typical”  $Y_4^{\{i, i+1\}}$  graph is planar.

### 3 Connectedness

We can see in Figure 2(a,b) that  $Y_4^{\{i\}}$  is, in general, disconnected. In contrast,  $Y_4^{\{i, i+1\}}$  is connected. See again Figure 2(c).

**Lemma 2**  $\overrightarrow{Y_4^{\{i, i+1\}}}$  is a connected graph.

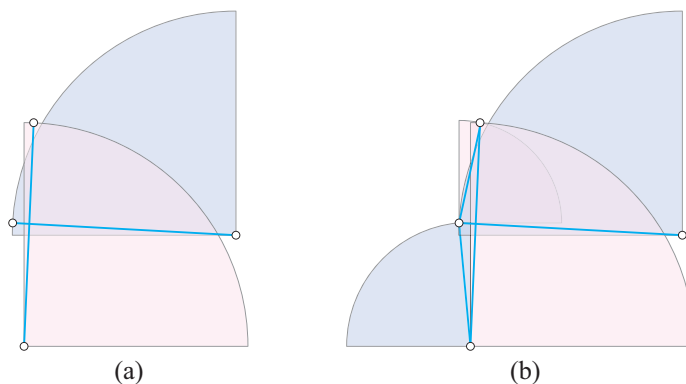


Figure 4:  $Y_4^{\{0,1\}}$  can be nonplanar.

**Proof:** We choose  $i=0$  w.l.o.g. So we are concerned with upward  $+y$ -connections, in  $Q_0$  and  $Q_1$ . The proof is by induction on the number of points  $n$  in the set  $P$ . The basis of the induction is trivial, for an  $n=1$  point set is connected. Let  $P$  have  $n > 1$  points, and let  $a$  be the point with the lowest  $y$ -coordinate. By Assumption (2),  $a$  is unique.

Delete this from  $P$ , reducing to a point set  $P'$  with  $|P'| = n-1$ . Then the set of points  $P' = P \setminus \{a\}$  satisfies the induction hypothesis, and so is connected into a graph  $\vec{G}'$ . See Figure ?? . Put back point  $a$ . Because all the quadrants determining edges  $\vec{bc} \in \vec{G}'$  are  $Q_0$  or  $Q_1$ , they lie at or above  $b_y$ , the  $y$ -coordinate of the lowest point in  $P'$ ,  $b$ . Thus  $a$  cannot lie in any quadrant, and so adding  $a$  to  $P'$  does not break any edge of  $\vec{G}'$ .<sup>2</sup> Finally,  $a$  itself must have at least one outgoing edge upward, for  $Q_0$  and  $Q_1$  cover the half-plane above  $a_y$ , which contains at least one point of  $P'$ .  $\square$

## 4 Undirected Spanners

It is clear that  $Y_4^{\{i\}}$  is not a spanner, because it may be disconnected. Points on a negatively sloped line result in a completely disconnected graph of isolated points. Neither is  $Y_4^{\{i,i+1\}}$  a spanner. Points uniformly spaced on two lines forming a ‘A’ shape both have directed paths up to the apex in  $Y_4^{\{0,1\}}$ , but the leftmost and rightmost lowest points can be arbitrarily far apart in the graph.

## 5 Directed Spanners

We turn then to directed versions of these questions. Call a directed graph a *directed spanner* if every directed path is no more than  $t$  times the path’s

<sup>2</sup> Note that if the induction instead removed the topmost point from  $P$ , this claim would no longer hold.

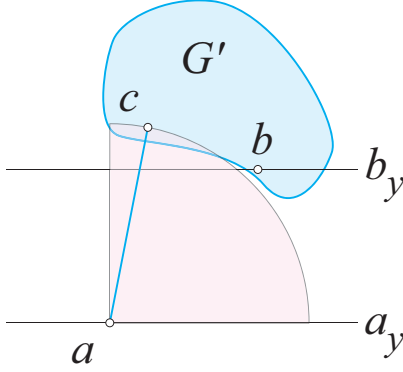


Figure 5:  $Y_4^{0,1}$  must be connected.

end-to-end Euclidean distance, for  $t$  a constant.

**Lemma 3**  $\overrightarrow{Y_4^{\{i\}}}$  is a directed spanner: no directed path is more than  $\sqrt{2}$  times the end-to-end Euclidean distance.

**Proof:** Let  $a$  and  $b$  be the endpoints of the path. Then the path is an  $xy$ -monotone path remaining inside  $R(a, b)$ . Therefore its length is at most half the perimeter of this rectangle, which is at most  $\sqrt{2}$  times the diagonal length.  $\square$

**Lemma 4**  $\overrightarrow{Y_4^{\{i, i+1\}}}$  is not a directed spanner: directed paths can be arbitrarily long: more than any constant  $t > 1$  times the end-to-end Euclidean distance.

**Proof:** Consider the path  $(a, b, c, d)$  in Figure 6(a). It is clear that this path can be made arbitrarily long with respect to  $|ad|$ , by lowering the vertical coordinates of  $c$  and  $d$ . Now we show how to avoid any other directed connection between  $a$  and  $d$ .

Let the other outgoing edge from  $a$  go to  $e$  as shown. We now direct paths from  $d$  and from  $e$  that do not connect. The idea is depicted in Figure 6(b). We create a series of nearly vertical paths from  $d$ , and from  $e$ . Above  $d = (d_x, d_y)$ , two points are placed at  $(d_x \pm \epsilon, d_y + 1)$ ,  $0 < \epsilon \ll 1$ . The two outgoing edges from  $d$  will terminate on these. Then above those we place two more points at  $(d_x \pm 2\epsilon, d_y + 2)$ . Now we get both upward and diagonal connections among the four points, with one “diagonal” being horizontal.<sup>3</sup> The point is that all the outgoing edges are accounted for.

Repeating this construction, we can make a nearly vertical tower of points, connected by vertical paths, but otherwise insulated from one another. So the only path from  $a$  to  $d$  is  $(a, b, c, d)$ .  $\square$

<sup>3</sup> The definition in Figure 1 shows that  $(d_x - \epsilon, d_y + 1)$  will connect horizontally to  $(d_x + \epsilon, d_y + 1)$ .

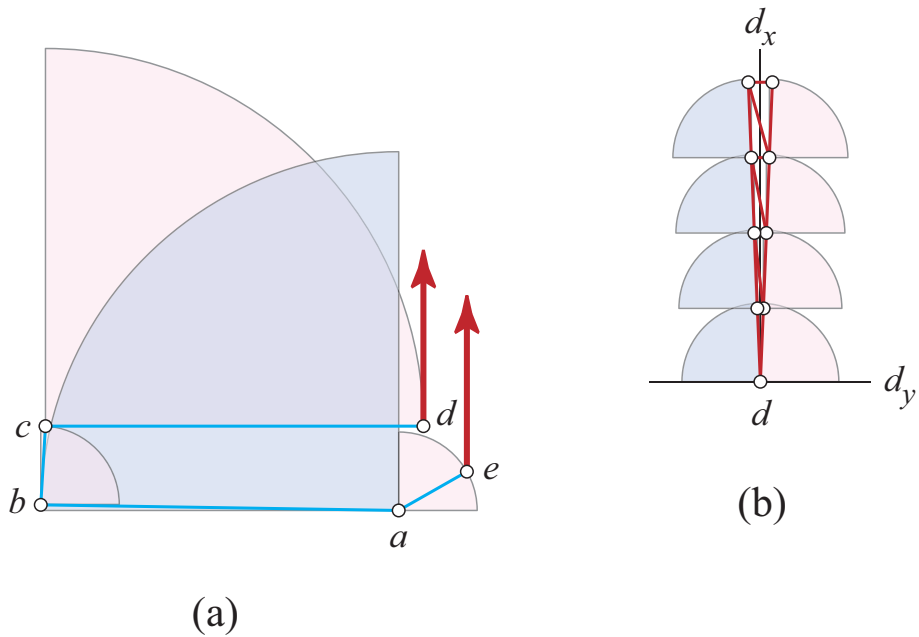


Figure 6: An arbitrarily long path in  $Y_4^{\{0,1\}}$ .

## 6 Future Work

The obvious next step is to examine properties of three quadrants,  $Y_4^{\{i,i+1,i+2\}}$ , before finally tackling  $Y_4$  itself.

## References

- [DMP09] Mirela Damian, Nawar Molla, and Val Pincu. Spanner properties of  $\pi/2$ -angle Yao graphs. In *Proc. 25th European Workshop Comput. Geom.*, pages 21–24, EuroCG, March 2009.