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# Computational Geometry Column 45

Joseph O'Rourke\*

#### Abstract

The algorithm of Edelsbrunner for surface reconstruction by "wrapping" a set of points in  $\mathbb{R}^3$  is described.

Curve reconstruction [O'R00] seeks to find a "best" curve passing through a given finite set of points, usually in  $\mathbb{R}^2$ . Surface reconstruction seeks to find a best surface passing through a set of points in  $\mathbb{R}^3$ . Both problems have numerous applications, usually deriving from the need to reconstruct the curve or surface from a sample. Both problems are highly underconstrained, for there are usually many curves/surfaces through the points. Surface reconstruction in particular is notoriously difficult to control. Although significant advances have been made in recent years [Dey04]—especially in the direction of performance guarantees based on sample density—we turn here to a beautiful and now relatively old "wrapping" algorithm due to Edelsbrunner, which, although implemented in 1996 at Raindrop Geomagic, has been published only recently [Ede03] after issuance of a patent in 2002.

Sample results of the algorithm are illustrated in Figs. 1 and 2.<sup>1</sup> Although both of these examples reconstruct surfaces of genus one, we concentrate on the genus-zero case (a topological sphere) and only mention extensions for higher genus reconstructions.

An attractive aspect of the algorithm is that it reconstructs a unique surface without assumptions on sample density and without adjustment of heuristic parameters. Although the algorithm uses discrete methods, underneath it relies on continuous Morse functions. The discrete scaffolding on

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<sup>&</sup>lt;sup>1</sup>.stl (stereolithography) files for shapes from http://www.cs.duke.edu/~edels/Tubes/.

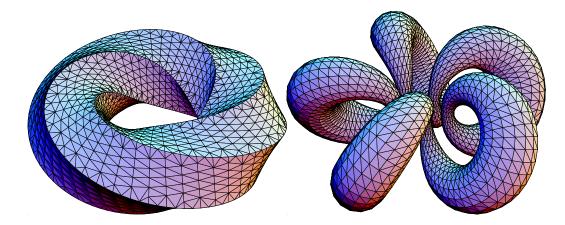


Figure 1: Torus, pentagonal cross- Figure 2: Smooth, twisted torus. section.

which the algorithm depends is the Delaunay complex, which we now informally describe. A simplex is a point, segment, triangle, or tetrahedron. A simplicial complex  $\mathcal{K}$  is a "proper" gluing together of simplicies, in that (1) if a simplex  $\sigma$  is in  $\mathcal{K}$ , then so are all its faces, and (2) if two simplices  $\sigma$  and  $\sigma'$ are in  $\mathcal{K}$ , then either  $\sigma \cap \sigma'$  is empty or a face of each. Let S be the finite set of points whose surface is to be reconstructed. The Delaunay complex Del S is the dual of the Voronoi diagram of S. Under a general-position assumption, Del S contains a simplex that is the convex hull of the sites  $T \subset S$  iff there is an empty sphere that passes through the points of T. The outer boundary of Del S is the convex hull of S. Augmenting Del S with a dummy "simplex"  $\emptyset$  for the space exterior to the hull, covers  $\mathbb{R}^3$ .

The algorithm seeks to find a "wrapping" surface  $\mathcal{W}$ , a connected simplicial subcomplex in Del S. It accomplishes this by finding a simplicial subcomplex  $\mathcal{X}$  of Del S whose boundary is  $\mathcal{W}$ . The vertices of  $\mathcal{X}$  will be precisely the input points S, and the vertices of  $\mathcal{W}$  will be a subset of S.

The algorithm uncovers  $\mathcal{X}$  in Del S by "sculpting" away simplices from Del S one-by-one, starting from  $\emptyset$ , until  $\mathcal{X}$  remains. The simplices are removed according to an acyclic partial ordering. It is the definition of this ordering that involves continuous mathematics.

A function g(x) assigns to every point  $x \in \mathbb{R}^3$  a number dependent on the closest Voronoi vertex. In particular, if x is in a tetrahedron T of Del S whose empty circumsphere has center z and radius r, then  $g(x) = r^2 - ||z - x||^2$ . Thus g(x) is zero at the corners of T and rises to  $r^2$  at z, the closest Voronoi

vertex. Points outside the hull are assigned an effectively infinite value. g(x) is continuous but not smooth enough to qualify as a Morse function, needed for the subsequent development. It will suffice here to claim that g can be smoothed sufficiently to define the vector field  $\nabla g$ , and from this, by a limiting process, *flow curves* through every point  $x \in \mathbb{R}^3$  aiming toward higher values.

These flow curves are in turn used to define an acyclic relation on all the simplices of Del S and  $\emptyset$ . Let  $\tau$  and  $\sigma$  be two simplices (of any dimension) and v a face shared between them. For example, if  $\tau$  and  $\sigma$  are both tetrahedra, v could be a triangle, or a segment, or a vertex. Define the *flow relation* " $\rightarrow$ " so that  $\tau \rightarrow v \rightarrow \sigma$  if there is a flow curve passing from int  $\tau$  to int v to int  $\sigma$ .<sup>2</sup>

A sink of the relation is a simplex that has no flow successor.  $\emptyset$  is always a sink (recall g(x) is large outside the hull), with the hull faces of Del S its immediate predecessors. Sinks are like critical points of the flow, with the simplices that gravitate toward a sink corresponding to a stable manifold in Morse terminology.

A key theorem is that the flow relation on simplices is acyclic, which reflects the increase of g(x) along every flow curve. The algorithms starts with  $\phi$  and methodically "collapses" its flow predecessors until no more collapses are possible, yielding the complex  $\mathcal{X}$ .

Let v be a face of  $\tau$ ; then  $\tau$  is called a *coface* of v.<sup>3</sup> Assume  $\tau \to v$ ; for example,  $\tau$  might be a tetrahedron and v one of its edges, with the flow from  $\tau$  through v. We give some indication of when the pair  $(v, \tau)$  is collapsible, without defining it precisely. First,  $\tau$  must be the highest dimension coface of v, and v should not have any cofaces not part of  $\tau$ . Thus, v is in a sense "exposed." Second, the flow curves should pass right through every point of v (as opposed to running along or in v). Collapse of the pair removes all the cofaces of v, thus eating away the parts of  $\tau$  sharing v.

A second key theorem is that any sequence of collapses from  $\emptyset$  leads to the same simplicial complex  $\mathcal{X}$ . Collapses also maintain the homotopy type, which, because Del S is a topological ball, result in  $\mathcal{X}$  a ball and  $\mathcal{W}$  a topological sphere.

To produce surfaces of higher genus, the contraction is pushed through

<sup>&</sup>lt;sup>2</sup> int v is the interior of v; for a v a vertex, int v = v.

<sup>&</sup>lt;sup>3</sup>One can think of this is a *containing face*, although its origins are more in complementary topological terminology.

holes: the most "significant" sink (in terms of g(x)) is deleted (changing the homotopy type), and then the collapses resume as before. This is how the shapes shown in Figs. 1 and 2 were produced. Repeating this process on the sorted sinks results in a series of nested complexes  $\mathcal{X} = \mathcal{X}_0, \mathcal{X}_1, \ldots, \emptyset$ .

Finally, the algorithm works in any dimension, although most applications are in  $\mathbb{R}^3$ .

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