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Martha Precup Washington University in St. Louis

Julianna Tymoczko Smith College, jtymoczko@smith.edu

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HESSENBERG VARIETIES OF PARABOLIC TYPE

MARTHA PRECUP AND JULIANNA TYMOCZKO

ABSTRACT. This paper studies the geometry and combinatorics of three interrelated varieties: Springer fibers, Steinberg varieties, and parabolic Hessenberg varieties. We prove that each parabolic Hessenberg variety is the pullback of a Steinberg variety under the projection of the flag variety to an appropriate partial flag variety and we give three applications of this result. The first application constructs an explicit paving of all Steinberg varieties in Lie type A in terms of semistandard tableaux. As a result, we obtain an elementary proof of a theorem of Steinberg and Shimomura that the well-known Kostka numbers count the maximal-dimensional irreducible components of Steinberg varieties. The second application proves an open conjecture for certain parabolic Hessenberg varieties in Lie type A by showing that their Betti numbers equal those of a specific union of Schubert varieties are in bijection with the irreducible components of the Steinberg variety. All three of these applications extend our geometric understanding of the three varieties at the heart of this paper, a full understanding of which is unknown even for Springer varieties, despite over forty years' worth of work.

1. INTRODUCTION

In this paper, we study the geometric and combinatorial structure of three interrelated varieties, using properties of one variety to infer new information about the others. We now introduce these varieties in Lie type A though much of the paper treats arbitrary Lie type. Two of these varieties are subvarieties of the flag variety G/B, which in type A is identified with the collection of nested complex vector spaces $V_1 \subseteq V_2 \subseteq \cdots \subseteq V_{n-1} \subseteq \mathbb{C}^n$ where each V_i is *i*-dimensional. The third is a subvariety of the partial flag variety G/P, which in type A is a family that includes the Grassmannian G(k, n) of k-dimensional subspaces of a fixed \mathbb{C}^n . The three main objects we consider are the following.

- (1) **Springer fibers:** Defined by a nilpotent linear operator X, the Springer fiber \mathcal{B}^X is the family of flags that are fixed by X in the sense that $XV_i \subseteq V_i$ for all *i*. Springer proved that the cohomology of the Springer fibers carries an action of the symmetric group S_n in what is often considered a first example of a geometric representation theory [Spr78, Spr76]. The geometry of Springer fibers is deeply connected to the combinatorics of permutations and S_n -representations. However, little is known about Springer fibers for general X except the Betti numbers [Fre09, Tym06] and that they are are pure dimensional with components indexed by standard tableaux [Spa76]. More is known about the components themselves for particular X, e.g. if $X^2 = 0$ [FM10], the Jordan type of X has two blocks [Fre10, Fun03, Wil18, ILW19], or when the irreducible components of B^X are smooth [GZ11].
- (2) **Parabolic Hessenberg varieties:** Hessenberg varieties loosen the condition used to define Springer fibers. Given a linear operator X and a nondecreasing function h:

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 $\{1, 2, \ldots, n\} \rightarrow \{1, 2, \ldots, n\}$ the Hessenberg variety $\mathcal{B}(X, h)$ consists of the flags that X moves by no more than h, in the sense that $XV_i \subseteq V_{h(i)}$ for all i. Motivated by Hessenberg matrices and algorithms for efficiently calculating eigenvalues in numerical analysis, Hessenberg varieties in the flag variety of $GL_n(\mathbb{C})$ were first introduced by De Mari and Shayman [DMS88] and later defined in all Lie types by De Mari, Procesi, and Shayman [DMPS92]. Independently, Peterson and Kostant used them to construct the quantum cohomology of the flag variety [Kos96] (see also [Rie03]). When X has n distinct eigenvalues, the equivariant cohomology of the corresponding Hessenberg variety carries an S_n -action [Tym08] that can be described by certain quasisymmetric functions (see the conjecture by Shareshian and Wachs [SW16] and recent proof from Brosnan and Chow [BC18] and independently Guay-Paquet [GP16]). As with Springer fibers, this endows the Betti numbers of Hessenberg varieties with combinatorial and representation-theoretic significance. Many people have analyzed these Betti numbers and cohomology rings for special cases of X and h (see [Tym06, Pre18, Mbi10, AHHM14, AHM⁺¹⁶] for just a few examples), though as with Springer fibers, the general geometric structure of Hessenberg varieties remains mysterious.

This paper considers the case when the function h corresponds to a parabolic subalgebra, which occurs when the image of h consists of precisely those i that are fixed by h. (If $i_1 < i_2$ are two consecutive fixed points of h then $h(i_1 + 1) = h(i_1 + 2) = \cdots = h(i_2) = i_2$. This means h describes the column heights of a block upper-triangular collection of matrices, namely a parabolic subalgebra of the $n \times n$ matrices.)

(3) Steinberg varieties: Steinberg varieties loosen the condition used to define Springer fibers in a different way. Given a linear operator X and an integer $1 \leq k < n$ the Steinberg variety associated to X and k is the collection of k-planes V_k with $XV_k \subseteq V_k$. More generally, if X is a linear operator and J is the index set of any partial flag variety G/P_J with elements $V_{i_1} \subseteq V_{i_2} \subseteq \cdots \subseteq \mathbb{C}^n$ then the Steinberg variety corresponding to X and J is the image $\pi_J(\mathcal{B}^X)$ under the standard projection $\pi_J : G/B \to G/P_J$ obtained by forgetting subspaces not indexed by $i \in J$. (We denote Steinberg varieties thus throughout this paper.) Steinberg proved that the irreducible components of $\pi_J(\mathcal{B}^X)$ of maximal dimension are counted by the Kostka numbers, a well-known quantity in algebraic combinatorics [Ste88]. Borho and MacPherson computed the cohomology of the Steinberg variety $\pi_J(\mathcal{B}^X)$, identifying it with the subspace of W_J -invariants of the Springer representation on $H^*(\mathcal{B}^X)$ where W_J is generated by the simple reflections s_i for $i \notin J$ [BM83]. More recently, Fresse proved all Steinberg varieties are paved by affines [Fre16]. Little else is known about the geometry of Steinberg varieties.

This paper analyzes the topological structure of parabolic Hessenberg varieties. Our main result proves that each parabolic Hessenberg variety is the pull-back of a Steinberg variety under the projection to a partial flag variety (c.f. Theorem 3.5 below.)

Theorem 1. Suppose $h : \{1, 2, ..., n\} \to \{1, 2, ..., n\}$ corresponds to a parabolic subalgebra and has fixed points $J = \{i_1, i_2, ..., i_k\}$ and let $\pi_J : G/B \to G/P_J$ be the corresponding projection of the full flag variety to the partial flag variety obtained by forgetting subspaces V_i with $i \notin J$. The parabolic Hessenberg variety $\mathcal{B}(X, h)$ is the pull-back of the Steinberg variety $\pi_J(\mathcal{B}^X)$ under π_J .

We use this theorem to give an explicit formula for the Poincaré polynomial of a parabolic Hessenberg variety for those X that satisfy the assumptions of Theorem 2.10. Theorem 3.11 proves it is the product of the Poincaré polynomial of the Steinberg variety and Poincaré polynomial of a smaller flag variety. As a corollary, we show that the Poincaré polynomial of a parabolic Hessenberg

variety is the shifted sum of the Poincaré polynomial of the Steinberg variety, with shifts determined by h.

Our results explicitly lay out the combinatorics of a paving for both Steinberg varieties and parabolic Hessenberg varieties when X satisfies the assumptions of Theorem 2.10. This allows us to specify Betti numbers for Steinberg and parabolic Hessenberg varieties, and to recover Fresse's proof that pavings of Steinberg varieties exist by explicitly producing a paving for these X.

We give three main applications of these results.

First, we develop an explicit combinatorial description of the paving of Steinberg varieties in type A in terms of certain semistandard tableaux. We recover a theorem of Steinberg [Ste88] and Shimomura [Shi80, Shi85] that computes the number of irreducible and maximal-dimensional components of a Steinberg variety in terms of the well-known Kostka numbers. However, our proof is more streamlined, grounded in the combinatorics of semistandard (versus standard) tableaux.

Second, we show that the Betti numbers of parabolic Hessenberg varieties for three-row or two-column nilpotent operators are equal to the Betti numbers of a specific union of Schubert varieties. Schubert varieties are the closures of cells in the best-known CW-decomposition of the flag variety; they induce a cohomology basis for the flag variety, and their combinatorics and geometry are deeply intwined (see, for example, the books [BL00, Ful97]). Varieties whose Betti numbers are those of a union of Schubert varieties admit a particularly simple construction of equivariant cohomology, as proven by Harada and the second author [HT17] and applied to certain Hessenberg varieties [HT11]. Conjecturally, this applies to all nilpotent Hessenberg varieties. The conjecture was confirmed for Hessenberg varieties when X has a single Jordan block by Mbirika [Mbi10], who computed the Betti numbers, and Reiner, who recognized them as those of a Schubert variety called the Ding variety [Din97, DMR07]. More recently, it was also proven for three-row or two-column Springer fibers by the authors of the current paper [PT19].

Third and last, we give a new analysis of the irreducible components of parabolic Hessenberg varieties in Section 6. We prove that the irreducible components of parabolic Hessenberg varieties are in bijection with those of the corresponding Steinberg variety, and state some consequences in the type A case.

This paper is structured as follows. The second section covers background information and notation. The third analyzes the structure of parabolic Hessenberg varieties. All the results in Section 3, including our main result, hold for Hessenberg varieties defined using any complex algebraic reductive group. The rest of the paper contains applications of this result. The fourth section specializes to the case $G = GL_n(\mathbb{C})$ and describes a paving of Steinberg varieties obtained by intersecting with Schubert cells. The fifth section then proves in type A that the Betti numbers of parabolic Hessenberg varieties are equal to those of a specific union of Schubert varieties. An analogous result holds for Steinberg varieties, except that the union of Schubert varieties is taken in the partial flag variety (which makes a significant difference). Finally, Section 6 concludes by studying the irreducible components of parabolic Hessenberg varieties.

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2. Preliminaries

This section establishes key definitions, as well as some results that restate past work in the form that is most useful in what follows. We fix the following notation:

• G is a complex algebraic reductive group with Lie algebra \mathfrak{g} .

- B is a fixed Borel subgroup of G with Lie algebra \mathfrak{b} .
- Φ is the root system of \mathfrak{g} .
- U is the maximal unipotent subgroup of B with Lie algebra \mathfrak{u} .
- $T \subset B$ is a fixed maximal torus with Lie algebra \mathfrak{t} .
- $W = N_G(T)/T$ denotes the Weyl group.
- We fix a representative $w \in N_G(T)$ for each $w \in W$ and use the same letter for both.
- Φ^+ , Φ^- , and Δ are the positive, negative and simple roots associated to the previous data.
- Given γ ∈ Φ we write g_γ for the root space in g corresponding to γ and fix a generating root vector E_γ ∈ g_γ.
- We denote by s_{γ} the reflection in W corresponding to $\gamma \in \Phi$ and write $s_{\alpha_i} = s_i$ when $\alpha_i \in \Delta$.

In Section 3 we specialize to the type A case where $G = GL_n(\mathbb{C})$ is the group of $n \times n$ invertible matrices and $\mathfrak{g} = \mathfrak{gl}_n(\mathbb{C})$ is the collection of $n \times n$ matrices. This is also our main example throughout. In this setting, B is the subgroup of invertible upper-triangular matrices, T is the diagonal subgroup, and $W \cong S_n$ is the symmetric group on n letters. The positive roots in this case are

$$\Phi^+ = \{ \alpha_i + \alpha_{i+1} \dots + \alpha_{j-1} \mid 1 \le i < j \le n \}$$

where $\alpha_i = \epsilon_i - \epsilon_{i-1}$ and $\epsilon_i(X) = X_{ii}$ for all $X \in \mathfrak{gl}_n(\mathbb{C})$. Let E_{ij} denote the elementary matrix with 1 in the (i, j)-entry and 0 in every other entry. We fix the root vector $E_{\gamma} = E_{ij}$ corresponding to the root $\gamma = \alpha_i + \alpha_{i+1} \cdots + \alpha_{j-1}$ for each $1 \leq i < j \leq n$. When working in the type A setting we sometimes identify (i, j) with the root $\alpha_i + \alpha_{i+1} \cdots + \alpha_{j-1}$.

Definition 2.1. The inversion set of the Weyl group element w is the set

$$N(w) = \{ \gamma \in \Phi^+ \mid w(\gamma) \in \Phi^- \}$$

This generalizes to arbitrary Lie type the classical definition of an inversion, where the pair (i, j) is an inversion of $w \in S_n$ if i < j and w(i) > w(j). If we identify (i, j) with the root $\alpha_i + \alpha_{i+1} + \cdots + \alpha_{j-1} \in \Phi^+$ then (i, j) is an inversion of w in the classical sense if and only if $\alpha_i + \alpha_{i+1} + \cdots + \alpha_{j-1} \in N(w)$. Note that if $\ell(w)$ denotes the (Bruhat) length function on W then $\ell(w) = |N(w)|$.

The projective variety G/B is called the **flag variety**. When $G = GL_n(\mathbb{C})$ the flag variety can be identified with the set of full flags $V_{\bullet} = (V_1 \subseteq V_2 \subseteq \cdots \subseteq V_{n-1} \subseteq V)$ in a complex *n*-dimensional vector space V as in the Introduction. Hessenberg varieties are parametrized by two objects: a Hessenberg space $H \subseteq \mathfrak{g}$ and an element $X \in \mathfrak{g}$.

Definition 2.2. A linear subspace $H \subseteq \mathfrak{g}$ is a **Hessenberg space** if $\mathfrak{b} \subseteq H$ and $[\mathfrak{b}, H] \subseteq H$.

The condition that $[\mathfrak{b}, H] \subseteq H$ implies that this subspace of \mathfrak{g} can be written as

$$H = \mathfrak{t} \oplus \bigoplus_{\gamma \in \Phi_H} \mathfrak{g}_\gamma$$

over an index set $\Phi_H \subseteq \Phi$ determined by (and determining) H. Let $\Phi_H^- = \Phi_H \cap \Phi^-$ denote the negative roots in this index set. When $\mathfrak{g} = \mathfrak{gl}_n(\mathbb{C})$, the set of indices Φ_H forms a "staircase" shape, in the sense that if (i, j) corresponds to a root in Φ_H then so do all (k, j) with $1 \leq k \leq i$ and all (i, k) with $j \leq k \leq n$. In other words if matrices in H are not identically zero in the entry (i, j), then they can be nonzero in any entry above or to the right of (i, j).

Each Hessenberg space $H \subseteq \mathfrak{gl}_n(\mathbb{C})$ is uniquely associated to a Hessenberg function $h : \{1, ..., n\} \rightarrow \{1, ..., n\}$ by the rule that h(i) equals the number of entries that are not identically zero in the *i*-th column of H. This is precisely the function h from the Introduction. The condition that $h(i) \ge i$

is equivalent to the requirement that $\mathfrak{b} \subseteq H$ while the condition $h(i) \ge h(i-1)$ is equivalent to the requirement $[\mathfrak{b}, H] \subseteq H$.

We remark that the condition $\mathfrak{b} \subseteq H$ is typically, but not logically, necessary. It is in any case implied when H is a parabolic subalgebra, which is the main focus of this paper.

Example 2.3. We give a Hessenberg function h and the corresponding Hessenberg space H when n = 5. The space of matrices H is described by indicating where the zeroes must be in each matrix; the entries designated * can be filled freely with any element of \mathbb{C} .

$$H = \begin{pmatrix} * & * & * & * & * \\ * & * & * & * & * \\ 0 & 0 & * & * & * \\ 0 & 0 & * & * & * \\ 0 & 0 & 0 & * & * \end{pmatrix} \qquad \longleftrightarrow \qquad h(i) = \begin{cases} 2 & \text{if } i = 1, 2 \\ 4 & \text{if } i = 3 \\ 5 & \text{if } i = 4, 5 \end{cases}$$

This paper focuses on a family of subvarieties of the flag variety called Hessenberg varieties.

Definition 2.4. Fix a Hessenberg space $H \subset \mathfrak{g}$ and an element $X \in \mathfrak{g}$. The **Hessenberg variety** associated to X and H is the subvariety of the flag variety given by

$$\mathcal{B}(X,H) = \{ gB \in G/B \mid g^{-1} \cdot X \in H \}$$

where $g \cdot X := Ad(g)X = gXg^{-1}$.

In this paper, we assume $X \in \mathfrak{g}$ is nilpotent, in which case we say that the corresponding variety $\mathcal{B}(X, H)$ is a **nilpotent Hessenberg variety**. A key example is the case in which $H = \mathfrak{b}$ and $X \in \mathfrak{g}$ is nilpotent. Then $\mathcal{B}(X, \mathfrak{b})$ consists of all flags gB such that $g^{-1} \cdot X \in \mathfrak{b}$ or equivalently $X \in g \cdot \mathfrak{b}$. This is called the **Springer fiber** and is denoted by \mathcal{B}^X .

Hessenberg varieties have an affine paving, which is like a CW-complex structure but with less restrictive closure conditions.

Definition 2.5. A paving of an algebraic variety Y is a filtration by closed subvarieties

 $Y_0 \subset Y_1 \subset \cdots \subset Y_i \subset \cdots \subset Y_d = Y.$

A paving is affine if every $Y_i - Y_{i-1}$ is a finite disjoint union of affine spaces. In this case, we say that these affine spaces **pave** Y.

Like CW-complexes, affine pavings can be used to compute the Betti numbers of a variety.

Remark 2.6. Let Y be an algebraic variety with an affine paving and let n_k denote the number of affine components of dimension k, or zero if n_k is zero. Then the compactly-supported cohomology groups of Y are given by $H_c^{2k}(Y) = \mathbb{Z}^{n_k}$. (For more, see e.g. [Ful98, 19.1.1].)

The Bruhat decomposition of the flag variety induces a well-known paving by affines [BL00, Section 2.6]. Decompose the flag variety as $G/B = \bigsqcup_{w \in W} C_w$ where $C_w = BwB/B$ is the **Schubert** cell indexed by $w \in W$ and the closure \overline{C}_w is a **Schubert variety**. The paving of G/B given by

$$(G/B)_i = \bigsqcup_{\ell(w)=i} \overline{C}_w$$

is affine because $\overline{C}_w = \bigsqcup_{y \leq w} C_y$ where \leq denotes the Bruhat order and because $C_w \cong \mathbb{C}^{\ell(w)}$ for each w.

Calculating the Poincaré polynomial of a Schubert variety or a union of Schubert varieties is a application of this combinatorial description.

Example 2.7. Let $G = GL_4(\mathbb{C})$ and consider $w = s_3s_2s_1s_3$. The set $\{v \in W \mid v \leq w\}$ is the set of all possible subwords of w. When $w = s_3s_2s_1s_3$ this set is

 $\{s_3s_2s_1s_3, s_2s_1s_3, s_3s_2s_3, s_3s_2s_1, s_3s_2, s_3s_1, s_2s_1, s_2s_3, s_1, s_2, s_3, e\}$

Therefore the Poincaré polynomial of \overline{C}_w is $\mathsf{P}(\overline{C}_w, t) = 1 + 3t + 4t^2 + 3t^3 + t^4$.

Intersecting the Hessenberg variety $\mathcal{B}(X, H)$ with certain choices of Schubert cells gives an affine paving of $\mathcal{B}(X, H)$. We call these intersections **Hessenberg Schubert cells** (or **Springer Schubert cells** if the underlying Hessenberg variety is in fact a Springer fiber). We now describe the Hessenberg Schubert cells that we use in this paper. Note that $\mathcal{B}(X, H)$ and $\mathcal{B}(g \cdot X, H)$ are homemorphic (see, for example, the one-line proof in [Tym06, Proposition 2.7]).

Let $X \in \mathfrak{g}$ be nilpotent and fix H. The previous paragraph says that we can choose X within its conjugacy class to make computations as convenient as possible. We now describe one such choice when $\mathfrak{g} = \mathfrak{gl}_n(\mathbb{C})$. This particular operator will play an important role in the combinatorial results of Sections 4 and 5. Recall that the conjugacy classes of nilpotent matrices in $\mathfrak{gl}_n(\mathbb{C})$ are determined by the sizes of their Jordan blocks. Let λ be a partition of n. We first construct a representative for the nilpotent conjugacy class of Jordan type λ as in [Tym06, §4].

Definition 2.8. Let $\lambda = (\lambda_1, \lambda_2, ..., \lambda_k)$ be a partition of n, drawn as a Young diagram with λ_i boxes in the *i*-th row from the top. Fill the boxes of λ with integers 1 to n starting at the bottom of the leftmost column and moving up the column by increments of one. Then move to the lowest box of the next column and so on. This is called the **base filling** of λ . Let X be the matrix such that $X_{kj} = 1$ if j fills a box directly to the right of k in the base filling and $X_{kj} = 0$ otherwise.

These matrices will play a key role in the combinatorial results of subsequent sections.

Example 2.9. Let n = 5 and $\lambda = (3, 2)$. Definition 2.8 gives the following base filling of λ and nilpotent representative X of Jordan type λ ,

Now we consider the case in which \mathfrak{g} is an arbitrary complex reductive Lie algebra. In this general setting, it is still possible to choose a representative for a nilpotent X within its conjugacy class so that X is a sum of positive root vectors; moreover, if X is regular in some Levi subalgebra of \mathfrak{g} then it is possible to make this choice so that the Hessenberg Schubert cells form a paving. The details of this construction are not necessary for our arguments so we refer the interested reader to [Pre13, Section 4].

Our proofs require the existence of a Hessenberg Schubert paving, which is guaranteed by the following theorem (that combines results of the two authors [Pre13, Tym06]).

Theorem 2.10. Fix a Hessenberg space $H \subseteq \mathfrak{g}$. Let $X \in \mathfrak{g}$ be a nilpotent element such that X is regular in some Levi subalgebra of \mathfrak{g} and:

- if g is type A and X has Jordan type λ, then X is the matrix constructed from the base filling of λ as in Definition 2.8, or
- (2) if g is a complex reductive Lie algebra of arbitrary Lie type, then choose X within its conjugacy class as in Section 4 of [Pre13] (c.f. Corollary 4.9 of [Pre13]).

Let $X = \sum_{\gamma \in \Phi_X} E_{\gamma}$ for a subset Φ_X of positive roots. Then the intersection $C_w \cap \mathcal{B}(X, H)$ is nonempty if and only if $wB \in \mathcal{B}(X, H)$ or equivalently $w^{-1}\Phi_X \subseteq \Phi_H$. If $C_w \cap \mathcal{B}(X, H)$ is nonempty then $C_w \cap \mathcal{B}(X, H) \cong \mathbb{C}^{d_w}$ for some nonnegative integer d_w . In particular the nonempty Hessenberg Schubert cells pave $\mathcal{B}(X, H)$.

Remark 2.11. If $X \in \mathfrak{gl}_n(\mathbb{C})$ then X can be conjugated into Jordan form, and Jordan form is regular in the Levi of block-diagonal matrices determined by the Jordan blocks of X. Results of the first author [Pre13] and second author in [Tym06] both prove that a Hessenberg Schubert paving exists in this case. However, these pavings are obtained by different methods: more precisely, the representative $X \in \mathfrak{gl}_n(\mathbb{C})$ used by the first author is not always equal to the matrix from Definition 2.8. We use the latter in this paper, as the matrices associated to the base filling of a Young diagram play a key role in the combinatorial results of subsequent sections.

3. Parabolic Hessenberg varieties are pullbacks of Steinberg varieties

In this section we specialize to the case where the Hessenberg space H is a parabolic subalgebra. After some preliminary discussion, we prove the geometric relationship between parabolic Hessenberg varieties and Steinberg varieties in Theorem 3.5. We then use this result to give an explicit formula for the Poincaré polynomial of a parabolic Hessenberg variety whenever the Hessenberg Schubert cells form a paving of that variety.

When $G = GL_n(\mathbb{C})$, a standard parabolic subalgebra consists of all matrices with a particular block upper triangular form. More generally, a **parabolic subalgebra** is any Lie subalgebra of \mathfrak{g} containing a Borel subalgebra and similarly for parabolic subgroups. A classical result states that the subgroups of G containing B are precisely the parabolic subgroups of the form

$$P_J = BW_J B = \bigsqcup_{w \in W_J} BwB$$

where $J \subseteq \Delta$ is a subset of simple roots and W_J is the subgroup of W generated by $\{s_i \mid \alpha_i \in J\}$ [Hum75, Theorem 29.3]. Let $\mathfrak{p}_J = \text{Lie}(P_J)$ denote the corresponding parabolic subalgebra. Every parabolic subalgebra of this form is a Hessenberg space containing \mathfrak{b} .

Denote the projection from the full flag variety $\mathcal{B} = G/B$ to the partial flag variety G/P_J by $\pi_J : G/B \to G/P_J$. The variety

$$\pi_J(\mathcal{B}^X) = \{gP \mid g^{-1} \cdot X \in \mathfrak{p}_J\} \subseteq G/P_J$$

is called the **Steinberg variety**. Steinberg first studied these varieties [Ste88], followed by Shimomura [Shi80, Shi85], and more recently Fresse [Fre16]. We will recover some of Fresse's results below using a more explicit method that permits us to identify Betti numbers, among other things.

For the rest of the paper we assume $H = \mathfrak{p}_J$ for some $J \subseteq \Delta$. We call the corresponding Hessenberg variety a parabolic Hessenberg variety.

3.1. **Background on parabolics.** We begin with a summary of notation and key structural aspects of parabolics.

Let $\Phi_J \subseteq \Phi$ be the subsystem of roots spanned by J and denote its positive roots by Φ_J^+ and negative roots by Φ_J^- . The subalgebra \mathfrak{p}_J has Levi decomposition

$$\mathfrak{p}_J = \mathfrak{m}_J \oplus \mathfrak{u}_J$$
 where $\mathfrak{m}_J = \mathfrak{t} \oplus \bigoplus_{\gamma \in \Phi_J} \mathfrak{g}_{\gamma}$ and $\mathfrak{u}_J = \bigoplus_{\gamma \in \Phi^+ - \Phi_J^+} \mathfrak{g}_{\gamma}$

There is a corresponding decomposition of P into the semidirect product $M_J U_J$ where M_J and U_J are subgroups of G with $\text{Lie}(M_J) = \mathfrak{m}_J$ and $\text{Lie}(U_J) = \mathfrak{u}_J$. Let $M_J/B_J := M_J/(B \cap M_J)$ denote the flag variety of the Levi subgroup M_J .

Each coset in W/W_J contains a unique minimal-length representative. Denote the set of minimal-length representatives by W^J . This coset decomposition respects lengths; when $w \in W$ is written as w = vy with $v \in W^J$ and $y \in W_J$ then $\ell(w) = \ell(v) + \ell(y)$ [BB05, Proposition 2.4.4]. The set W^J can be characterized in the following different ways [Kos61, Remark 5.13].

Remark 3.1. Fix a Weyl group element v. The following statements are equivalent:

- (1) The Weyl group element v is in W^J .
- (2) Every positive root γ with $v^{-1}(\gamma) \in \Phi^-$ in fact satisfies $v^{-1}(\gamma) \in \Phi^- \Phi_J^-$.
- (3) For all $\alpha_i \in J$, we have $\alpha_i \notin N(v)$.

The decomposition $W = W^J W_J$ makes the task of identifying inversion sets particularly simple. This is the context in which we usually use the following lemma, which is also a well-known result [Kos61, Equation (5.13.2)].

Lemma 3.2. Suppose that v and y are reduced words in W whose product w = vy is also a reduced word. Then $\ell(w) = \ell(v) + \ell(y)$ and the inversion set of w is the disjoint union $N(w) = N(y) \sqcup y^{-1}N(v)$.

The next lemma explicitly describes the projection map $\pi_J : G/B \to G/P_J$. It is a short reformulation of the previous statements together with classical results that allow us to factor the unipotent subgroup as we wish. Recall that each Schubert cell C_w can be written as $U^w w B/B$ where $U^w \subseteq U$ is the maximal subgroup such that $w^{-1}U^w w$ is contained in the opposite unipotent, that is $U^w = U \cap w U^- w^{-1}$.

Lemma 3.3. Suppose that w = vy with $y \in W_J$ and $v \in W^J$ and that $uwB \in G/B$ is any element of the Schubert cell C_w . Then:

- (1) There is a unique way to write uw as u_1vu_2y where $u_1 \in U^v$ and $u_2 \in U^y$.
- (2) The image of uwB under the map $\pi_J : G/B \to G/P_J$ is u_1vP_J .
- (3) The preimage of u_1vP_J under the map π is $\bigsqcup_{y \in W_J} u_1vU^yyB$.
- (4) The projection π_J restricts to an isomorphism on C_v .

Proof. Recall that a root subgroup of U is the one-dimensional unipotent subgroup $U_{\gamma} = \exp(\mathfrak{g}_{\gamma})$ for each $\gamma \in \Phi$. The subgroup U^w is the product $U^w = \prod_{\gamma \in N(w^{-1})} U_{\gamma}$. Moreover the unipotent subgroup U can be factored as a product of root subgroups in any order [Hum75, §28.1]. Applying Lemma 3.2 to the factorization $w^{-1} = y^{-1}v^{-1}$ gives $N(w^{-1}) = N(v^{-1}) \sqcup vN(y^{-1})$. The definition of U^w thus implies $U^w \cong U^v \times vU^y v^{-1}$ proving the first claim. Since $y \in W_J$ we know $U^y \subseteq U \cap M_J$ and thus $u_2y \in P_J$. This means $\pi_J(uwB) = u_1vP_J$ proving the second claim. It now follows that

$$\pi_J^{-1}(u_1vP_J) \subseteq \bigsqcup_{y \in W_J} u_1vU^y yB$$

Remark 3.1 states that for each $u_1 \in U^v$ we have $v^{-1}u_1v \notin P_J$ and so the containment is an equality, proving the third claim. When restricted to C_v the map π_J is surjective (by Claim (2)) and injective (by Claim (3)), completing the proof.

Remark 3.4. Claim (4) of the lemma implies that $\pi_J(C_v)$ is the Schubert cell indexed by $v \in W^J$ in G/P_J . We denote this Schubert cell by $C_v^{P_J}$.

3.2. The main pullback result. The next theorem establishes a geometric relationship between the parabolic Hessenberg variety $\mathcal{B}(X, \mathfrak{p}_J)$ and the Springer fiber \mathcal{B}^X . It is the main result of this manuscript and holds for all nilpotent $X \in \mathfrak{b}$ and in all Lie types. **Theorem 3.5.** Suppose $X \in \mathfrak{b}$ is nilpotent. The pullback of the Steinberg variety $\pi_J(\mathcal{B}^X)$ under the projection $\pi_J: G/B \to G/P_J$ is the parabolic Hessenberg variety $\mathcal{B}(X, \mathfrak{p}_J)$.

Proof. Since $\mathcal{B}^X \subseteq \mathcal{B}(X, \mathfrak{p}_J)$ we know $\pi_J(\mathcal{B}(X, \mathfrak{p}_J))$ contains the Steinberg variety. We need only confirm that each $gB \in \mathcal{B}(X, \mathfrak{p}_J)$ is sent to an element $\pi_J(gB)$ in the Steinberg variety $\pi_J(\mathcal{B}^X)$. Let $gB \in \mathcal{B}(X, \mathfrak{p}_J)$ and write g = uvp for some $u \in U^v$, $v \in W^J$, and $p \in P_J$ per Lemma 3.3. We will show $uvB \in \mathcal{B}^X$. Lemma 3.3 says $\pi_J(gB) = \pi_J(uvB)$ so this will prove the claim.

By definition of parabolic Hessenberg varieties we know $p^{-1}v^{-1}u^{-1} \cdot X \in \mathfrak{p}_J$. The parabolic \mathfrak{p}_J is stable under adjoint action of P_J so $v^{-1}u^{-1} \cdot X \in \mathfrak{p}_J$. Since $X \in \mathfrak{b}$ and $u \in U$, we can write $u^{-1} \cdot X = \sum_{\gamma \in \Phi_Y} c_\gamma E_\gamma$ for some subset Φ_Y of positive roots and coefficients $c_\gamma \in \mathbb{C}$. Thus

$$v^{-1} \cdot (u^{-1} \cdot X) = \sum_{\gamma \in \Phi_Y} c_{\gamma} E_{v^{-1}(\gamma)}.$$

If this sum is not in \mathfrak{b} then there is $\gamma \in \Phi_Y$ with $v^{-1}(\gamma) \in \Phi^-$. We know $v^{-1} \cdot (u^{-1} \cdot X) \in \mathfrak{p}_J$ so $v^{-1}(\gamma) \in \Phi_J^-$. But Remark 3.1 tells us $v^{-1}(\gamma) \in \Phi^- - \Phi_J^-$. From this contradiction we conclude $v^{-1}(\gamma) \in \Phi^+$ for all $\gamma \in \Phi_Y$ so $v^{-1}u^{-1} \cdot X \in \mathfrak{b}$ and $uvB \in \mathcal{B}^X$ as desired. \Box

We obtain the following corollary, which gives a formula for the dimension of each Hessenberg Schubert cell in terms of a corresponding Springer Schubert cell (or Steinberg Schubert cell in the partial flag variety G/P_J).

Corollary 3.6. Fix $J \subseteq \Delta$ and $X \in \mathfrak{b}$. Let $w \in W$ and write w = vy with $v \in W^J$ and $y \in W_J$. If $wB \in \mathcal{B}(X, \mathfrak{p}_J)$ then

$$\dim(C_w \cap \mathcal{B}(X, \mathfrak{p}_J)) = \dim(C_v \cap \mathcal{B}^X) + \ell(y) = \dim(C_v^{P_J} \cap \pi_J(\mathcal{B}^X)) + \ell(y).$$

Proof. Let $gB \in C_w$ and write $gB = u_1 v u_2 y B$ for some $u_1 \in U^v$ and $u_2 \in U^y$ using Lemma 3.3. Theorem 3.5 shows

$$u_1vu_2yB \in C_w \cap \mathcal{B}(X,\mathfrak{p}_J) \Leftrightarrow u_1vB \in C_v \cap \mathcal{B}(X,\mathfrak{p}_J) \Leftrightarrow u_1vB \in C_v \cap \mathcal{B}^X$$

Together with Lemma 3.3, this shows that π_J restricts to an isomorphism $C_v \cap \mathcal{B}^X \simeq C_v^{P_J} \cap \pi_J(\mathcal{B}^X)$ and proves the second desired equality. The first equality also follows from Lemma 3.3, since the map $gB \mapsto (u_2, u_1vB)$ defines an isomorphism of varieties $C_w \cap \mathcal{B}(X, \mathfrak{p}_J) \to U^y \times (C_v \cap \mathcal{B}^X)$. \Box

3.3. Combinatorial corollaries. We end this section with a collection of combinatorial corollaries of the pullback result. The key is the following observation that the permutation flags in the parabolic Hessenberg variety $\mathcal{B}(X, \mathfrak{p}_J)$ are precisely the W_J -cosets of the permutation flags in the Springer fiber \mathcal{B}^X .

Corollary 3.7. Let $X \in \mathfrak{b}$ and w = vy with $v \in W^J$ and $y \in W_J$. Then $wB \in \mathcal{B}(X, \mathfrak{p}_J)$ if and only if $vB \in \mathcal{B}^X$.

We denote the subset of W_J -coset representatives of permutation flags in \mathcal{B}^X by

$$W(X,J) := \{ v \in W^J \mid vB \in \mathcal{B}^X \}.$$

Example 3.8. Let $X \in \mathfrak{gl}_4(\mathbb{C})$ be a nilpotent element of Jordan type $\lambda = (2, 2)$. If X is in highest form as in Definition 2.8 then

$$X = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

and $\Phi_X = \{\alpha_1 + \alpha_2, \alpha_2 + \alpha_3\}$. If $J = \{\alpha_1, \alpha_3\}$ then W_J is the subgroup of S_n generated by $\{s_1, s_3\}$ and $W^J = \{e, s_2, s_1 s_2, s_3 s_2, s_1 s_3 s_2, s_2 s_1 s_3 s_2\}$. We find the set W(X, J) by checking whether $v^{-1} \cdot X$ is upper triangular for each $v \in W^J$, or equivalently whether $v^{-1} \Phi_X \subseteq \Phi^+$. The following table computes $v^{-1}\alpha$ for each $v \in W_J$ and $\alpha \in \Phi_X$.

e	s_2	$s_{1}s_{2}$	$s_{3}s_{2}$	$s_1 s_3 s_2$	$s_2 s_1 s_3 s_2$
$\alpha_1 + \alpha_2$	α_1	$-\alpha_2$	$\alpha_1 + \alpha_2 + \alpha_3$	$lpha_3$	$-\alpha_1 - \alpha_2$
$\alpha_2 + \alpha_3$	α_3	$\alpha_1 + \alpha_2 + \alpha_3$	$-\alpha_2$	α_1	$-\alpha_2 - \alpha_3$

We conclude $W(X, J) = \{e, s_2, s_1 s_3 s_2\}.$

We can use W(X, J) to describe a paving of the Steinberg variety $\pi_J(\mathcal{B}^X)$ using the projection of the paving by Hessenberg Schubert cells of the parabolic Hessenberg variety $\mathcal{B}(X, \mathfrak{p}_J)$. When X is in a nilpotent conjugacy class satisfying the assumptions of Theorem 2.10, this extends and improves on Fresse's result: he proved a paving exists for all Steinberg varieties [Fre16], but we add explicit information about the cells and their dimensions. Our results apply to all nilpotents in type A, all nilpotents that are regular in a Levi in general type, and some other cases.

Corollary 3.9. Suppose $X \in \mathfrak{b}$ is a nilpotent element satisfying the assumptions of Theorem 2.10. Then the intersection $C_v^{P_J} \cap \pi_J(\mathcal{B}^X)$ is nonempty if and only if $v \in W(X, J)$. Furthermore, if $v \in W(X, J)$ then $C_v^{P_J} \cap \pi_J(\mathcal{B}^X) \simeq \mathbb{C}^{d_v}$ where $d_v = \dim(C_v \cap \mathcal{B}^X)$.

Proof. Let $v \in W^J$. By Theorem 2.10 the cell $C_v \cap \mathcal{B}^X$ is nonempty if and only if $vB \in \mathcal{B}^X$. The condition $vB \in \mathcal{B}^X$ is equivalent to $v \in W(X, J)$ by definition and to $vP \in \pi_J(\mathcal{B}^X)$ by Lemma 3.3. The map π_J restricts to an isomorphism $C_v \cap \mathcal{B}^X \simeq C_v^{P_J} \cap \pi_J(\mathcal{B}^X)$ so $C_v^{P_J} \cap \pi_J(\mathcal{B}^X)$ is nonempty if and only if $v \in W(X, J)$ in which case it has the same dimension as $C_v \cap \mathcal{B}^X$. Finally, if $v \in W(X, J)$ then $C_v \cap \mathcal{B}^X \simeq \mathbb{C}^{d_v}$ by Theorem 2.10.

Remark 3.10. A priori, Corollary 3.9 only applies to those $X \in \mathfrak{gl}_n(\mathbb{C})$ corresponding to the base filling of the partition λ obtained by recording the sizes of the Jordan blocks of X (see Definition 2.8). However each $X' \in \mathfrak{gl}_n(\mathbb{C})$ is conjugate to an X' of the desired form. Conjugating X' is equivalent to translating the Springer fiber, in the sense that $\mathcal{B}^{g^{-1} \cdot X'} = g^{-1} \mathcal{B}^X$. Since pavings are preserved under translation, we conclude that all Steinberg varieties $\pi_J(\mathcal{B}^{X'})$ are paved by affines in type A.

Using these results, we prove the second main theorem of this section: a factorization of the Poincaré polynomial of a parabolic Hessenberg variety into the product of the Poincaré polynomials of a Steinberg variety and the flag variety of the Levi subgroup M_J . We denote the Poincaré polynomial in variable t of a variety \mathcal{X} by $\mathsf{P}(\mathcal{X},t)$. Recall that $M_J/B_J = M_J/(B \cap M_J)$ denotes the flag variety of the Levi subgroup M_J . Note that the permutation flags of M_J/B_J are precisely $y(B \cap M_J)$ for $y \in W_J$.

Theorem 3.11. Suppose $X \in \mathfrak{b}$ is a nilpotent element satisfying the assumptions of Theorem 2.10. Let $J \subseteq \Delta$. Then

$$\mathsf{P}(\mathcal{B}(X,\mathfrak{p}_J),t) = \mathsf{P}(\pi_J(\mathcal{B}^X),t)\mathsf{P}(\mathcal{B}_J,t).$$

Proof. By Corollary 3.9, the intersections $C_v \cap \pi_J(\mathcal{B}^X)$ with $v \in W(X, J)$ pave $\pi_J(\mathcal{B}^X)$ and thus give the Betti numbers of the Steinberg variety (see Remark 2.6). Since π_J restricts to an isomorphism on $C_v \cap \mathcal{B}^X$ we write

(3.12)
$$\mathsf{P}(\pi_J(\mathcal{B}^X), t) = \sum_{v \in W(X,J)} t^{\dim(C_v \cap \pi_J(\mathcal{B}^X))} = \sum_{v \in W(X,J)} t^{\dim(C_v \cap \mathcal{B}^X)}.$$

Theorem 2.10 says that the nonempty intersections $C_w \cap \mathcal{B}(X, \mathfrak{p}_J)$ pave the Hessenberg variety $\mathcal{B}(X, \mathfrak{p}_J)$. Corollary 3.7 says $C_w \cap \mathcal{B}(X, \mathfrak{p}_J) \neq \emptyset$ if and only if w = vy with $y \in W_J$ and $v \in W(X, J)$. Applying Corollary 3.6, we obtain:

$$P(\mathcal{B}(X,\mathfrak{p}_J),t) = \sum_{v \in W(X,J)} \sum_{y \in W_J} t^{\dim(C_v \cap \mathcal{B}^X)} t^{\ell(y)}$$

$$= \sum_{v \in W(X,J)} t^{\dim(C_v \cap \mathcal{B}^X)} \sum_{y \in W_J} t^{\ell(y)}$$

$$= P(\pi_J(\mathcal{B}^X),t) P(M_J/B_J,t)$$

red result. \Box

which proves the desired result.

The next section strengthens these combinatorial results in the case of type A. Example 4.4 below demonstrates how Theorems 3.5 and 3.11 can be used in that setting.

4. Application in type A: Betti numbers of Steinberg varieties

We give two main applications in type A. The first, given in this section, computes the Betti numbers of Steinberg varieties using the combinatorics of row-semistrict tableaux. The second, given in the next section, will show that the Betti numbers of parabolic Hessenberg varieties and Steinberg varieties match those of specific unions of Schubert varieties whenever the Jordan form of X corresponds to a partition with at most three row or two columns.

We begin with a subsection that summarizes the key combinatorial objects in the case of type A, especially tableaux and the kinds of inversions within tableaux that count dimensions in pavings of Springer fibers. The second subsection adapts these combinatorial descriptions to partial flag varieties, combining them with the results in Section 3 to give an explicit description of the Betti numbers of Steinberg varieties.

4.1. Notation for type A. When $\mathfrak{g} = \mathfrak{gl}_n(\mathbb{C})$ both X and P_J are determined by partitions. Let $\mu = (\mu_1, \mu_2, \dots, \mu_k)$ be a partition of n. We set $\mu_0 = 0$. Associate a subset of simple roots to μ by the rule that

$$J_{\mu} = \Delta \setminus \{\alpha_{\mu_1}, \alpha_{\mu_1 + \mu_2}, \dots, \alpha_{\mu_1 + \dots + \mu_{k-1}}\}.$$

The corresponding parabolic subalgebra \mathfrak{p}_J for $J = J_{\mu}$ is the subalgebra of block-upper-triangular matrices whose block-sizes are determined by J. Every subset $J \subseteq \Delta$ has the form $J = J_{\mu}$ for some composition μ . However we gain no generality by using compositions for μ since reordering blocks corresponds to conjugating the parabolic, which in turn induces an isomorphism between the corresponding partial flag varieties.

Let λ be a partition of n. We let X be the highest form representative of the conjugacy class of nilpotent matrices of Jordan type λ , as given in Definition 2.8.

The permutation flags wB in the Springer fiber \mathcal{B}^X are in bijection with the row-strict tableaux, namely tableaux whose entries increase from left to right in each row. The following result describes this bijection explicitly [Tym06, Theorem 7.1].

Lemma 4.1. The permutation flag wB is an element of \mathcal{B}^X if and only if the tableau T of shape λ given by labeling the *i*-th box in the base filling of Definition 2.8 by $w^{-1}(i)$ is a row-strict tableau.

For example, the identity permutation corresponds to the base filling of λ . More generally, note that if *i* labels a box in *T* then the corresponding box in the base filling of λ is labeled by w(i).

Not only do the row-strict tableaux of shape λ index the nonempty Springer Schubert cells $C_w \cap \mathcal{B}^X$ but they encode the dimensions $\dim(C_w \cap \mathcal{B}^X)$. The next lemma explains how, by

counting certain inversions in the tableau T. (It is an amalgamation of several earlier results that are itemized in the proof.)

Let $RST(\lambda)$ denote the set of all row-strict tableaux of shape λ . Let T be a row-strict tableau and T[i] be the diagram obtained by restricting T to the boxes labeled $1, \ldots, i$. (Since T is row-strict, the diagram T[i] consists of rows of boxes without gaps in rows—in other words if a box is deleted, all boxes in the same row and to the right of that box must also have been deleted.)

Lemma 4.2. Suppose $wB \in \mathcal{B}^X$ and let $T \in RST(\lambda)$ be the row-strict tableau corresponding to w as in Lemma 4.1. Let $2 \leq q \leq n$ and ℓ_{q-1} be the sum of

- the number of rows in T[q] above the row containing q and of the same length, plus
- the total number of rows in T[q] of strictly greater length than the row containing q.

Then

$$\dim(C_w \cap \mathcal{B}^X) = \sum_{i=2}^n \ell_{i-1}$$

We call ℓ_{q-1} the number of q-row inversions of the diagram T.

Proof. Springer dimension pairs are a subset of the inversions in a filled tableau; the total number of Springer dimension pairs is equal to $\dim(C_w \cap \mathcal{B}^X)$ by work of the second author [Tym06, Theorem 7.1]. A Springer dimension pair (p, q) satisfies:

- (1) $1 \leq p < q \leq n$ and
- (2) q occurs in a box below p and in the same column or in any column strictly to the left of p in T and
- (3) if the box directly to the right of p in T is filled by r_p then $q \leq r_p$.

The quantities ℓ_{q-1} count the number of Springer dimension pairs of the form (p,q) for $1 \leq p < q \leq n$ and so the sum of the ℓ_{q-1} also gives the total number of Springer dimension pairs [PT19, Mbi10].

Example 4.3. Continuing Example 3.8, let $\lambda = (2, 2)$ and $X \in \mathfrak{gl}_4(\mathbb{C})$ be the corresponding nilpotent matrix. The following table displays all row-strict tableaux of shape (2,2), records the corresponding permutation $w \in S_4$ such that $wB \in \mathcal{B}^X$, and computes dim $(C_w \cap \mathcal{B}^X)$.

T	$\begin{array}{c c}2&4\\\hline1&3\end{array}$	$\begin{array}{c c}3 & 4\\\hline 1 & 2\end{array}$	$\begin{array}{c c}1&4\\2&3\end{array}$	$\begin{array}{c c}2&3\\\hline1&4\end{array}$	$\begin{array}{c c}1&3\\2&4\end{array}$	$\begin{array}{c c}1&2\\\hline 3&4\end{array}$
$w \in S_4$	e	s_2	s_1	s_3	s_1s_3	$s_1 s_3 s_2$
$\dim(C_w \cap \mathcal{B}^X)$	0	1	1	1	2	2

For example, to see dim $(C_{s_1s_3s_2} \cap \mathcal{B}^X) = 2$ we compute $\ell_3 = 1$ (since T = T[4] has one row of length ≥ 2 other than the row containing 4), $\ell_2 = 1$ (since T[3] has one row of length ≥ 1 other than the row containing 3), and $\ell_1 = 0$ (since T[2] has only one row).

Example 4.4. We use Example 4.3 to give an explicit example of the results from Section 3. As in Example 3.8, take $J = J_{(2,2)} = \{\alpha_1, \alpha_3\}$ so $W(X, J) = \{e, s_2, s_1s_3s_2\}$. The Poincaré polynomial of the Steinberg variety $\pi_J(\mathcal{B}^X)$ is determined by the dimensions $\dim(C_v \cap \mathcal{B}^X)$ above when $v \in W(X, J)$. Thus we have $\mathsf{P}(\pi_J(\mathcal{B}^X), t) = 1 + t + t^2$.

Since $W_J = \{e, s_1, s_3, s_1s_3\}$ Theorem 3.11 gives the Poincaré polynomial of $\mathcal{B}(X, \mathfrak{p}_{(2,2)})$:

$$\mathsf{P}(\mathcal{B}(X,\mathfrak{p}_J),t) = (1+t+t^2)(1+2t+t^2) = 1+3t+4t^2+3t^3+t^4.$$

4.2. Betti numbers of Steinberg varieties. Using the main theorems of Section 3, we prove that the Betti numbers of Steinberg varieties are enumerated by row-semistrict tableaux.

Definition 4.5. Let λ and μ be partitions of n. A row-semistrict tableau of shape λ and weight μ is a tableau T of shape λ with μ_1 many 1's, μ_2 many 2's, and so on, such that the entries in each row are weakly increasing. Let $\text{RSST}(\lambda, \mu)$ denote the set of all row-semistrict tableaux of λ and weight μ . If the entries in each column of T are strictly increasing, then we say that Tis a semistandard tableau of shape λ and weight μ and let $\text{SST}(\lambda, \mu)$ denote the subset of $\text{RSST}(\lambda, \mu)$ of semistandard tableaux.

There is a natural map from row-strict tableaux of shape λ to row-semistrict tableaux of shape λ and content μ obtained simply by repeating entries. More precisely, relabel the first μ_1 integers 1, the next μ_2 integers 2, the next μ_3 integers 3, and so on. For example, if $\mu = (3, 2)$ then $1, 2, 3 \mapsto 1$ and $4, 5 \mapsto 2$. The **degeneration map** $\phi_{\lambda,\mu} : \text{RST}(\lambda) \to \text{RSST}(\lambda,\mu)$ is induced on row-strict tableaux by this relabeling.

Example 4.6. If $\lambda = \mu = (2, 2)$ then $1, 2 \mapsto 1$ and $3, 4 \mapsto 2$ and thus:

$$\phi_{(2,2),(2,2)}\left(\begin{array}{c|c}1&3\\\hline2&4\end{array}\right) = \begin{array}{c|c}1&2\\\hline1&2\end{array} \quad \text{and} \quad \phi_{(2,2),(2,2)}\left(\begin{array}{c|c}1&2\\\hline3&4\end{array}\right) = \begin{array}{c|c}1&1\\\hline2&2\end{array}.$$

The degeneration map is not typically injective. However, the next lemma tells us that when restricted to the row-strict tableaux corresponding to $W(X, J_{\mu})$, the degeneration map is bijective. Let $RST(\lambda, \mu)$ denote the set of all row-strict tableaux of shape λ corresponding to $v \in W(X, J_{\mu})$, namely obtained by labeling the *i*-th box in the base filling of λ by $v^{-1}(i)$ for each *i*. We have the following four related objects, which we collect here for the reader's convenience:

- $RST(\lambda)$ is the set of all row-strict tableaux of shape λ
- RST (λ, μ) is the set of all row-strict tableaux of shape λ corresponding to $v \in W(X, J_{\mu})$
- $RSST(\lambda, \mu)$ is the set of all row-semistrict tableaux of shape λ and weight μ
- $SST(\lambda, \mu)$ is the set of semistandard tableaux of shape λ and weight μ .

The next result shows that $\phi_{\lambda,\mu}$ is bijective on $RST(\lambda,\mu)$ while a later result studies the preimage under $\phi_{\lambda,\mu}$ of $SST(\lambda,\mu)$.

Lemma 4.7. The restriction of the degeneration map to $RST(\lambda, \mu)$ is a bijection:

$$\phi_{\lambda,\mu} : \operatorname{RST}(\lambda,\mu) \xrightarrow{\sim} \operatorname{RSST}(\lambda,\mu)$$

Proof. We define a map $\psi_{\lambda,\mu} : \text{RSST}(\lambda,\mu) \to \text{RST}(\lambda,\mu)$ and prove that it is the inverse of $\phi_{\lambda,\mu}$.

Let $T \in \text{RSST}(\lambda, \mu)$. The boxes of T that are labeled by a fixed $i \in [k]$ are totally ordered by the base filling of λ . Label these boxes, in order, with the integers $\mu_0 + \mu_1 + \cdots + \mu_{i-1} + 1, \ldots, \mu_1 + \cdots + \mu_i$. Proceeding in this fashion for each $i \in [k]$ gives a row-strict tableau, denoted $\psi_{\lambda,\mu}(T) \in \text{RST}(\lambda, \mu)$. By construction $\phi_{\lambda,\mu} \circ \psi_{\lambda,\mu}(T) = T$ for all $T \in \text{RSST}(\lambda, \mu)$.

To complete the proof, we show $\psi_{\lambda,\mu}(T)$ corresponds to $v \in W(X, J_{\mu})$ (in the sense of Lemma 4.1) for each $T \in \text{RSST}(\lambda, \mu)$. By construction, writing the numbers that fill $\psi_{\lambda,\mu}(T)$ in order of the base filling of λ gives the sequence $[v^{-1}(1), v^{-1}(2), \dots, v^{-1}(n)]$ that is the one-line notation for v^{-1} . Also by construction, the numbers $1, 2, \dots, \mu_1$ in this sequence are in increasing order, as are the numbers $\mu_1 + 1, \mu_1 + 2 \dots, \mu_1 + \mu_2$, the numbers $\mu_1 + \mu_2 + 1, \mu_1 + \mu_2 + 2, \dots, \mu_1 + \mu_2 + \mu_3$, and so on. Thus given a pair p < q with $v^{-1}(p) > v^{-1}(q)$ we know that $v^{-1}(p), v^{-1}(q)$ are in different "blocks", meaning they cannot be a pair of the following form:

$$\{(\ell, m) \mid \mu_0 + \dots + \mu_{i-1} + 1 \le \ell, m \le \mu_1 + \dots + \mu_i \text{ for some } i \in [k]\}.$$

But the pairs (ℓ, m) in these "blocks" are precisely the indices corresponding to the roots $\Phi_{J_{\mu}}$. We have confirmed the condition in statement (2) of Remark 3.1 holds for v so $v \in W^{J_{\mu}}$ and hence $v \in W(X, J_{\mu})$. Thus $\psi_{\lambda,\mu} \circ \phi_{\lambda,\mu}$ restricts to the identity on $RST(\lambda, \mu)$, as desired. \Box

Example 4.8. Continuing the previous example, we observe that $\psi_{\lambda,\mu}$ sends

$1 2 \searrow 2 4$	and	11	1	2		
$1 2 \boxed{1 3}$		2 2		3	4	

In both cases we have $\phi_{\lambda,\mu}(\psi_{\lambda,\mu}(T)) = T$.

The following proposition is a version of Lemmas 4.1 and 4.2 for Steinberg varieties. Although similar descriptions of the irreducible components of Steinberg varieties have appeared in the literature [Shi80, Shi85, Ste88], the formula below computes the entire Poincaré polynomial. There are similar formulas for the Betti numbers of a different generalization of Springer fibers to partial flag varieties called *Spaltenstein varieties* [Fre18, BO11].

Proposition 4.9. Let λ and μ be partitions of n and assume μ has k rows. Let X be the matrix in the nilpotent conjugacy class associated to λ given in Definition 2.8 and $J = J_{\mu}$. For each $T \in \text{RSST}(\lambda, \mu)$ let d_T be the number of pairs $(p,q) \in [k] \times [k]$ counted with multiplicity such that

- (1) p < q and
- (2) q occurs in a box below p and in the same column or in any column strictly to the left of p in T and
- (3) if the box directly to the right of p in T is filled by r_p then $q \leq r_p$.

Then

$$\mathsf{P}(\pi_J(\mathcal{B}^X), t) = \sum_{T \in \mathsf{RSST}(\lambda, \mu)} t^{d_T}.$$

Proof. By Corollary 3.9, the intersections $\{C_v \cap \pi_J(\mathcal{B}^X) \mid v \in W(X, J)\}$ pave $\pi_J(\mathcal{B}^X)$ and moreover $\dim(C_v \cap \pi_J(\mathcal{B}^X)) = \dim(C_v \cap \mathcal{B}^X)$. Lemma 4.7 shows that each $T \in \text{RSST}(\lambda, \mu)$ corresponds to a unique $v \in W(X, J)$ since $\phi_{\lambda,\mu}^{-1}(T) \in \text{RST}(\lambda, \mu)$. Thus it suffices to show that $\dim(C_v \cap \mathcal{B}^X) = d_T$ for each $T \in \text{RSST}(\lambda, \mu)$ whenever $v \in W(X, J_\mu)$ is the permutation corresponding to the tableau $T_v := \phi_{\lambda,\mu}^{-1}(T)$.

By definition $\phi_{\lambda,\mu}(T_v) = T$. The conditions on (p,q) in Proposition 4.9 are precisely those from the proof of Lemma 4.2 counting Springer dimension pairs in T_v . Thus $\dim(C_v \cap \mathcal{B}^X) \ge d_T$ for each $v \in W(X, J_\mu)$. By condition (3) of Remark 3.1 if p' < q' satisfy $\mu_0 + \cdots + \mu_{i-1} + 1 \le$ $p',q' \le \mu_1 + \cdots + \mu_i$ for some $i \in [k]$ then v(p') < v(q'), and (p',q') is not a Springer dimension pair of T_v . Thus the degeneration map sends each Springer dimension pair (p',q') in T_v to a pair $(p,q) \in [k] \times [k]$ with $p \neq q$ and so (p,q) contributes to d_T . This means $\dim(C_v \cap \mathcal{B}^X) = d_T$ and the claim is proved.

Example 4.10. Let $\lambda = \mu = (2, 2)$ as in Example 4.3. The table below displays the three rowsemistrict tableaux in $\text{RSST}(\lambda, \mu)$ and the pairs counted by d_T in each case.



The pair (1,2) is counted twice for the last row-semistrict tableau since there are two pairs satisfying the given conditions—one for each 2 appearing in the second row of T.

By Corollary 3.9, the dimension of the Steinberg variety $\pi_J(\mathcal{B}^X)$ is

$$\max\{\dim(C_v \cap \mathcal{B}^X) \mid v \in W(X,J)\} \le \dim(\mathcal{B}^X).$$

Steinberg first counted the irreducible components of $\pi_J(\mathcal{B}^X)$ with maximal dimension dim (\mathcal{B}^X) in [Ste88]. The following corollary is a simpler proof of Steinberg's theorem, using only the affine paving and combinatorics of row-strict tableaux. Recall that the **Kostka number** $K_{\lambda\mu}$ is the number of semistandard tableaux of shape λ and weight μ . The Kostka number is an important quantity in algebraic combinatorics and representation theory.

Corollary 4.11. Let λ and μ be partitions of n, $X \in \mathfrak{gl}_n(\mathbb{C})$ the nilpotent matrix of Jordan type λ fixed in Definition 2.8, and $J = J_{\mu}$. There are exactly $K_{\lambda\mu}$ irreducible components of $\pi_J(\mathcal{B}^X)$ of dimension dim (\mathcal{B}^X) .

Proof. First we identify the irreducible components of $\pi_J(\mathcal{B}^X)$ of dimension $\dim(\mathcal{B}^X)$. Corollary 3.9 showed that $C_v^{P_J} \cap \pi_J(\mathcal{B}^X)$ is isomorphic to affine space so $\overline{C_v^{P_J}} \cap \pi_J(\mathcal{B}^X)$ is irreducible and nonempty for all $v \in W(X, J)$. Furthermore if $\dim(C_v^{P_J} \cap \pi_J(\mathcal{B}^X)) = \dim(\mathcal{B}^X)$ then $\overline{C_v^{P_J}} \cap \pi_J(\mathcal{B}^X)$ must be an irreducible component. If $v \in W(X, J)$ then Corollary 3.9 said $\dim(C_v^{P_J} \cap \pi_J(\mathcal{B}^X)) =$ $\dim(C_v \cap \mathcal{B}^X)$. Finally, the dimension of $C_v \cap \mathcal{B}^X$ is maximal if and only if the corresponding row-strict tableau $T \in \text{RST}(\lambda)$ is in fact a standard tableau (e.g. [PT19, Theorem 3.5]). Thus we need to find the set of $v \in W(X, J)$ that correspond to standard tableaux.

To complete the proof, we argue that there are $K_{\lambda\mu}$ many such v. We know that $\phi_{\lambda,\mu}$: $RST(\lambda,\mu) \to RSST(\lambda,\mu)$ is a bijection by Lemma 4.7. If $T \in RSST(\lambda,\mu)$ is not semistandard-namely there is a column in which some i appears twice-then its row-strict preimage is not column-strict, since the base filling of λ increases bottom-to-top in columns. If T is semistandard then its row-strict preimage is column-strict by construction of the inverse map, and hence is standard. Thus the unique preimage in $RST(\lambda,\mu)$ of each semistandard T of shape λ and weight μ must be standard. The tableaux in $RST(\lambda,\mu)$ are precisely those corresponding to W(X,J) so this proves the claim.

Example 4.12. Example 4.10 showed that when $\lambda = \mu = (2, 2)$ the Steinberg variety $\mathcal{B}(X, \mathfrak{p}_J)$ has a single irreducible component of dimension $\dim(\mathcal{B}^X) = 2$. A key property of Kostka numbers is that $K_{\lambda\lambda} = 1$ for all λ . This confirms the results of Corollary 4.11 in this case.

We can use other classical properties of Kostka numbers to infer data about Steinberg varieties. For instance, recall that $K_{\lambda\mu} = 0$ whenever $\mu \not \geq \lambda$, where \trianglelefteq denotes the dominance order on partitions of *n*. Corollary 4.11 implies that the dimension of the Steinberg variety $\pi_J(\mathcal{B}^X)$) is strictly less than that of the Springer fiber \mathcal{B}^X whenever $J = J_{\mu}$, X is of Jordan type λ , and $\mu \not \geq \lambda$. In Section 6 we give an explicit example in which this occurs.

5. Applications in type A: Parabolic Hessenberg varieties have the same Poincaré polynomial as unions of Schubert varieties

Our second type A application of the main theorems identifies specific unions of Schubert varieties whose Poincaré polynomials agree with those of parabolic Hessenberg varieties. We use the same notation as in the previous section, again just treating type A. Our strategy is to associate to each flag $wB \in \mathcal{B}(X, \mathfrak{p}_J)$ a permutation w_T whose length is the dimension $\dim(C_w \cap \mathcal{B}(X, \mathfrak{p}_J))$ of the Hessenberg Schubert cell for wB. We call w_T the Schubert point corresponding to w. We will show that the map $w \mapsto w_T$ preserves the set W^J . We use this together with the decomposition $w_T = v_T y$ into a product of $v_T \in W^J$ and $y \in W_J$ to construct Schubert varieties whose permutation flags are a union of W_J -cosets. Theorem 5.12 proves that if $X \in \mathfrak{gl}_n(\mathbb{C})$ is a matrix whose Jordan form corresponds to a partition with at most three rows or two columns, the Betti numbers of $\mathcal{B}(X,\mathfrak{p}_J)$ match those of

$$\bigcup_{v \in W(X,J)} \overline{C}_{v_T w_J}$$

where $w_J \in W_J$ denotes the longest element of W_J . The theorem also gives an analogue for $\pi_J(\mathcal{B}^X)$.

A given parabolic Hessenberg variety may correspond to the union of more than one Schubert variety. The Schubert cells in their intersection are counted only once, not with multiplicity, which is the main subtlety of this theorem.

We begin with a canonical factorization of $W = S_n$ following Björner-Brenti's presentation [BB05, Corollary 2.4.6]. Recall that the roots associated to the *i*-th row of an upper-triangular matrix are

$$\Phi_{i} = \{\alpha_{i}, \alpha_{i} + \alpha_{i+1}, \dots, \alpha_{i} + \alpha_{i+1} + \dots + \alpha_{n-1}\} \text{ for each } 1 \le i \le n-1.$$

Lemma 5.1. Each $w \in W$ can be written uniquely as $w = w_{n-1}w_{n-2}\cdots w_2w_1$ where

$$w_i = s_{k_i} s_{k_i+1} \cdots s_{i-1} s_i$$
 for each $i = 1, ..., n-1$

and either $w_i = e$ or k_i is a fixed integer with $1 \le k_i \le i$. We call w_i the *i*-th string of w. Moreover

 $w_1^{-1}w_2^{-1}\cdots w_{i-1}^{-1}N(w_i) \subseteq \Phi_i$ for each i = 1, ..., n-1.

For example the longest word in S_4 can be written as $s_1s_2s_3s_1s_2s_1$. In this case the strings are

- $w_3 = s_1 s_2 s_3$
- $w_2 = s_1 s_2$ and
- $w_1 = s_1$

so $k_i = 1$ for all i = 1, 2, 3. Note that if $w_i \neq e$ then $\ell(w_i) = i - k_i + 1$.

In previous work the authors studied a bijection between $wB \in \mathcal{B}^X$ and certain permutations $w_T \in W$ whose lengths are the dimension of the corresponding Springer Schubert cells [PT19, Definition 3.2]. We define those permutations now.

Definition 5.2. Let $wB \in \mathcal{B}^X$ and let T denote the corresponding row-strict tableau as in Lemma 4.1. For each $2 \leq q \leq n$ let ℓ_{q-1} be the number of q-row inversions of T given in Lemma 4.2. Define a string w_{q-1} by

$$w_{q-1} = \begin{cases} s_{q-\ell_{q-1}} s_{q-\ell_{q-1}+1} \cdots s_{q-2} s_{q-1} & \text{if } \ell_{q-1} \neq 0 \\ e & \text{if } \ell_{q-1} = 0 \end{cases}$$

so w_{q-1} is a string of length ℓ_{q-1} by construction. Then

 $w_T := w_{n-1}w_{n-2}\cdots w_2w_1$

is the **Schubert point** associated to $wB \in \mathcal{B}^X$.

By construction

$$\ell(w_T) = \ell_{n-1} + \ell_{n-2} + \dots + \ell_1 = \dim(C_w \cap \mathcal{B}^X).$$

In fact not only are the permutations w_T in bijection with row-strict tableaux, but the set of Schubert points $\{w_T \mid T \text{ is row-strict}\}$ forms a lower order ideal in the Bruhat graph whenever λ has at most three rows or two columns—namely the Schubert points index a union of Schubert varieties [PT19, Theorem 4.4]. **Lemma 5.3** (Precup-Tymoczko). For each $wB \in \mathcal{B}^X$ there exists a unique Schubert point $w_T \in W$. In addition, if the Jordan form of X corresponds to a partition with at most three rows or two columns then every permutation $w' \leq w_T$ in Bruhat order corresponds to a unique $yB \in \mathcal{B}^X$ such that $w' = y_{T'}$ for the row-strict tableau T' corresponding to y.

Our plan to extend this result is to show that the Schubert points respect the decomposition $W^J W_J$. More precisely we will show that $v \in W^J$ if and only if the Schubert point v_T corresponding to v is an element of W^J . We begin with an alternate characterization of W^J .

Proposition 5.4. Let $w \in W$ and write $w = w_{n-1}w_{n-2}\cdots w_2w_1$ where w_i denotes the *i*-th string of w for each i = 1, 2, ..., n-1. Then $w \in W^J$ if and only if $\ell(w_i) \leq \ell(w_{i-1})$ for all $\alpha_i \in J$.

Proof. We will prove the contrapositive statement using Remark 3.1, which says that w is not in W^J if and only if there is a simple root $\alpha_i \in J$ for which $\alpha_i \in N(w)$. In particular we prove that for each simple root $\alpha_i \in J$, the root $\alpha_i \in N(w)$ if and only if $\ell(w_i) > \ell(w_{i-1})$.

Since $\ell(w) = \ell(w_{n-1}) + \ell(w_{n-2}) + \dots + \ell(w_2) + \ell(w_1)$ we can write

$$N(w) = N(w_1) \sqcup w_1^{-1} N(w_2) \sqcup \cdots \sqcup w_1^{-1} w_2^{-1} \cdots w_{n-2}^{-1} N(w_{n-1})$$

by Lemma 3.2. Given $\alpha_i \in J$ consider $w_i = s_{k_i}s_{k_i+1}\cdots s_{i-1}s_i$ and $w_{i-1} = s_{k_{i-1}}s_{k_{i-1}+1}\cdots s_{i-2}s_{i-1}$. Note that

(5.5)
$$N(w_i) = \{\alpha_i, s_i(\alpha_{i-1}), \dots, s_i s_{i-1} \cdots s_{k_i+1}(\alpha_{k_i})\}.$$

By Lemma 5.1 we know $\alpha_i \in N(w)$ if and only if $\alpha_i \in w_1^{-1} w_2^{-1} \cdots w_{i-2}^{-1} w_{i-1}^{-1} N(w_i)$. Since $\ell(w_i) = i - k_i + 1$ we know

$$\ell(w_i) > \ell(w_{i-1}) \iff i - k_i + 1 > i - 1 - k_{i-1} + 1.$$

This in turn is equivalent to $k_i \leq k_{i-1}$ and implies that the reflection $s_{k_{i-1}}$ must occur in the word $w_i = s_{k_i} s_{k_i+1} \cdots s_{i-1} s_i$. The description of $N(w_i)$ in Equation (5.5) shows that this is the case if and only if

$$s_i s_{i-1} \cdots s_{k_{i-1}+1}(\alpha_{k_{i-1}}) = \alpha_{k_{i-1}} + \alpha_{k_{i-1}+1} + \dots + \alpha_{i-1} + \alpha_i \in N(w_i).$$

Thus $k_i \leq k_{i-1}$ if and only if

$$w_1^{-1}w_2^{-1}\cdots w_{i-2}^{-1}w_{i-1}^{-1}(\alpha_{k_{i-1}}+\alpha_{k_{i-1}+1}+\cdots+\alpha_{i-1}+\alpha_i)\in N(w)$$

But

$$w_{i-1}^{-1}(\alpha_{k_{i-1}} + \alpha_{k_{i-1}+1} + \dots + \alpha_{i-1} + \alpha_i) = s_{i-1}s_{i-2}\cdots s_{k_{i-1}+1}s_{k_{i-1}}(\alpha_{k_{i-1}} + \alpha_{k_{i-1}+1} + \dots + \alpha_{i-1} + \alpha_i) = \alpha$$

and $w_1, w_2, ..., w_{i-2}$ stabilize α_i . Putting this together, we conclude $\ell(w_i) > \ell(w_{i-1})$ if and only if $\alpha_i \in N(w)$ as desired.

The previous lemma is the key step in the next proposition, which shows that if $v \in W^J$ indexes a permutation flag $vB \in \mathcal{B}^X$ then the corresponding Schubert point v_T is also in W^J .

Proposition 5.6. Let $vB \in \mathcal{B}^X$. Then $v \in W^J$ if and only if $v_T \in W^J$.

Proof. Let T denote the row-strict tableau associated to v. We decompose v_T into *i*-strings as $v_T = v_{n-1}v_{n-2}\cdots v_2v_1$. Throughout this proof, assume *i* satisfies $1 \le i \le n-1$ and $\alpha_i \in J$.

By definition $\ell(v_i) = \ell_i$ and $\ell(v_{i-1}) = \ell_{i-1}$ so by Proposition 5.4 and Remark 3.1 we have only to show that $\alpha_i \notin N(v)$ if and only if $\ell_i \leq \ell_{i-1}$. First $\alpha_i \notin N(v)$ if and only if v(i) < v(i+1) by definition of inversions. Since *i* fills the box labeled by v(i) in the base filling of λ , the inequality v(i) < v(i+1) holds if and only if *i* occurs in a box of *T*

- in the same column and below i + 1, or
- in a column to the left of i + 1.

Now consider T[i] and T[i+1]. We obtain T[i] from T[i+1] by removing the box containing i+1. Lemma 4.2 states that ℓ_i counts the number of rows in T[i+1] above the row containing i+1 and of equal length plus the total number of rows in T[i+1] of length strictly greater than the row with i+1. These rows each have the same length in T[i] since they do not contain i+1; denote the set of rows by \mathcal{R} . If i satisfies either bulleted condition above then each row in \mathcal{R} contributes one i-row inversion of T to the count of ℓ_{i-1} so by Lemma 4.2 we have $\ell_i = |\mathcal{R}| \leq \ell_{i-1}$. Conversely if i satisfies neither bulleted condition then ℓ_{i-1} counts only a subset of \mathcal{R} since \mathcal{R} includes the row containing i. Therefore $\ell_{i-1} < |\mathcal{R}| = \ell_i$. This proves the claim.

Corollary 5.7. Suppose X corresponds to a partition with at most three rows or two columns. Then the set $\{v_T \in W^J \mid v \in W^J \text{ and } vB \in \mathcal{B}^X\}$ is a lower order ideal with respect to Bruhat order on W^J . In other words if $v' \in W^J$ and $v' \leq v_T$ for some v_T in the set, then v' is also an element of the set.

Proof. To prove this, we show that for each $v' \in W^J$ such that $v' \leq v_T$ there exists $y \in W^J$ with $yB \in \mathcal{B}^X$ and row-strict tableau T' such that $v' = y_{T'}$. By Proposition 5.3, there exists a unique $yB \in \mathcal{B}^X$ and corresponding row-strict tableau T' such that $v' = y_{T'}$. By Proposition 5.6 this y must also be an element of W^J since $y_{T'}$ is.

Remark 5.8. It's also important to note what this corollary does not say: this set is a lower order ideal in W^J but not necessarily in W. The next example shows how this can happen.

Example 5.9. Continue our example when $\lambda = \mu = (2, 2)$. Example 4.4 gave the set $W(X, J_{(2,2)}) = \{e, s_2, s_1 s_3 s_2\}$. Example 4.3 listed the row-strict tableaux corresponding to the elements in $W(X, J_{(2,2)})$ The permutation $s_1 s_3 s_2$ corresponds to $T = \boxed{1 \ 2 \ 3 \ 4}$ and Example 4.3 explained that $\ell_3 = \ell_2 = 1$ were the only nonzero contributions to the dimension. By definition we obtain $v_T = s_3 s_2$. Similarly the row-strict tableau corresponding to s_2 is $T' = \boxed{3 \ 4 \ 1 \ 2}$ with $v_{T'} = s_2$ and e corresponds to the base filling, so $\{v_T \mid v \in W(X, J)\} = \{s_3 s_2, s_2, e\}$ in this case. Note that s_3 is not in this set, though $s_3 < s_3 s_2$ in Bruhat order. This is because $s_3 \notin W^J$.

Corollary 5.7 immediately implies that the Poincaré polynomial of the Steinberg variety agrees with that of a union of Schubert varieties in the partial flag variety.

Corollary 5.10. Suppose $X \in \mathfrak{gl}_n(\mathbb{C})$ is nilpotent with Jordan form corresponding to a partition λ with at most three rows or two columns. Then the following Poincaré polynomials are equal:

$$\mathsf{P}(\pi_J(\mathcal{B}^X), t) = \mathsf{P}\left(\bigcup_{v \in W(X, J)} \overline{C}_{v_T}^{P_J}, t\right)$$

where $\overline{C}_{v_T}^{P_J}$ is a Schubert variety in the partial flag variety G/P_J .

Proof. Corollary 3.9 tells us that the Steinberg variety is paved by the cells $C_v^{P_J} \cap \pi_J(\mathcal{B}^X)$ for $v \in W(X, J)$ and that $\dim(C_v^{P_J} \cap \pi_J(\mathcal{B}^X)) = \dim(C_v \cap \mathcal{B}^X)$ for each of these cells. In addition $\dim(C_v \cap \mathcal{B}^X) = \ell(v_T)$ by construction. Corollary 5.7 now tells us that $\{v^T \in W^J \mid v \in W(X, J)\}$ is a lower order ideal. Since W^J indexes the permutation flags in G/P_J this means the union of Schubert varieties $\overline{C}_{v_T}^{P_J}$ in the partial flag variety G/P_J has the same Poincaré polynomial as the Steinberg variety, as desired.

Example 5.11. Continuing our running example, Example 4.4 showed that when $\lambda = \mu = (2, 2)$ the Poincaré polynomial of the Steinberg variety $\pi_J(\mathcal{B}^X)$ is $1 + t + t^2$. This is also the Poincaré

polynomial of the Schubert variety $\overline{C}_{s_3s_2}^{P_J}$ in G/P_J . (In contrast, the Poincaré polynomial of the Schubert variety $\overline{C}_{s_3s_2} \subseteq G/B$ is $1 + 2t + t^2$.)

We are now ready to state and prove the main theorem of this section.

Theorem 5.12. Suppose $X \in \mathfrak{gl}_n(\mathbb{C})$ is nilpotent with Jordan form corresponding to a partition λ with at most three rows or two columns. Then the following Poincaré polynomials are equal:

$$\mathsf{P}(\mathcal{B}(X,\mathfrak{p}_J),t) = \mathsf{P}\left(\cup_{v \in W(X,J)} C_{v_T w_J}, t\right)$$

where w_J denotes the longest word in W_J .

Proof. Note that the union of Schubert varieties is the disjoint union of Schubert cells

$$\bigcup_{v \in W(X,J)} \overline{C}_{v_T w_J} = \bigsqcup_{v \in W(X,J)} \bigsqcup_{y \in W_J} C_{v_T y}$$

because Schubert points are distinct and because W(X, J) is a subset of coset representatives for W/W_J . Recall that M_J/B_J denotes the flag variety $M_J/(B \cap M_J)$ of M_J and in particular that $\mathsf{P}(M_J/B_J, t) = \sum_{u \in W_J} t^{\ell(y)}$. Thus we have

$$P(\bigcup_{v \in W(X,J)} \overline{C}_{v_T w_J}, t) = \sum_{v \in W(X,J)} t^{\ell(v_T)} P(M_J/B_J, t)$$
$$= \sum_{v \in W(X,J)} t^{\dim(C_v \cap \mathcal{B}^X)} P(M_J/B_J, t)$$
$$= P(\mathcal{B}(X, \mathfrak{p}_J), t)$$

where the last two equalities follow from Definition 5.2 and Corollary 3.11, respectively. \Box

Example 5.13. Example 4.4 studied the parabolic Hessenberg variety when X is nilpotent of Jordan type $\lambda = (2, 2)$ and J corresponds to the partition (2, 2) and found its Poincaré polynomial:

$$\mathsf{P}(\mathcal{B}(X,\mathfrak{p}_J),t) = (1+t+t^2)(1+2t+t^2) = 1+3t+4t^2+3t^3+t^4.$$

This is precisely the Poincaré polynomial of the Schubert variety $\overline{C}_{s_3s_2s_3s_1}$ computed in Example 2.7.

6. Components of parabolic Hessenberg varieties

One natural follow-up question is whether the combinatorial results of Proposition 4.9, Corollary 5.10, and Theorem 5.12 reflect an underlying geometric property. We now give one result in this direction, proving that the irreducible components of parabolic Hessenberg varieties are in bijection with the irreducible components of a Steinberg variety. The following is the main result of this section and holds in all Lie types.

Theorem 6.1. Fix $X \in \mathfrak{b}$. Let $\pi_J : G/B \to G/P_J$ be the projection $\pi_J(gB) = gP_J$. Under this map, the irreducible components of parabolic Hessenberg variety $\mathcal{B}(X,\mathfrak{p}_J)$ are in bijection with those of the Steinberg variety $\pi_J(\mathcal{B}^X)$.

Proof. Let $\mathcal{B}(X,\mathfrak{p}_J) = \bigcup_{i \in I} \mathcal{X}_i$ be the decomposition of $\mathcal{B}(X,\mathfrak{p}_J)$ into irreducible components. The map π_J is continuous so each $\pi_J(\mathcal{X}_i)$ is irreducible. Theorem 3.5 showed that $\pi_J(\mathcal{B}(X,\mathfrak{p}_J)) = \pi_J(\mathcal{B}^X)$ so $\pi_J(\mathcal{B}^X)$ can be written as a union $\bigcup_{i \in I} \pi_J(\mathcal{X}_i)$. To show that each $\pi_J(\mathcal{X}_i)$ is a component, we prove that if $\pi_J(\mathcal{X}_i) \subseteq \pi_J(\mathcal{X}_j)$ then i = j. If $\pi_J(\mathcal{X}_i) \subseteq \pi_J(\mathcal{X}_j)$ then naturally $\pi_J^{-1}\pi_J(\mathcal{X}_i) \subseteq \pi_J(\mathcal{X}_j)$. Thus it suffices to show that $\pi_J^{-1}\pi_J(\mathcal{X}_i) = \mathcal{X}_i$ since the \mathcal{X}_i are by definition components. Suppose $g_1B \in \pi_J^{-1}(\pi_J(\mathcal{X}_i))$. Since $\pi_J(g_1B) \in \pi_J(\mathcal{X}_i)$ there exists $g_2B \in \mathcal{X}_i$ with $\pi_J(g_1B) = \pi_J(g_2B)$. By statements (2) and (3) of Lemma 3.3 we can write $g_1 = uvu_1y_1$ and $g_2 = uvu_2y_2$ where $v \in W^J$, y_1 and y_2 are both in W_J , and $u \in U^v$, $u_1 \in U^{y_1}$, $u_2 \in U^{y_2}$.

Let $\mathcal{Z} = \{uvmB \mid m \in M_J\} \subseteq \mathcal{B}(X, \mathfrak{p}_J)$. Then $g_1B, g_2B \in \mathcal{Z}$ and \mathcal{Z} is isomorphic to the flag variety M_J/B_J . Therefore \mathcal{Z} is an irreducible subvariety of $\mathcal{B}(X, \mathfrak{p}_J)$, and must be contained in a single irreducible component of $\mathcal{B}(X, \mathfrak{p}_J)$. This implies $\mathcal{Z} \subseteq \mathcal{X}_i$ so $g_1B \in \mathcal{X}_i$ as desired. \Box

As an immediate corollary, we conclude that in type A, the number of irreducible components of $\mathcal{B}(X,\mathfrak{p}_J)$ with dimension $\dim(\mathcal{B}^X) + \ell(w_J)$ is the Kostka number $K_{\lambda\mu}$. The proof just applies Corollary 4.11, namely Steinberg's result for $\pi_J(\mathcal{B}^X)$.

Corollary 6.2. Let λ and μ be partitions of $n, X \in \mathfrak{gl}_n(\mathbb{C})$ be a nilpotent matrix with Jordan form determined by λ , and $J = J_{\mu}$. The number of irreducible components of $\mathcal{B}(X, \mathfrak{p}_J)$ of dimension $\dim(\mathcal{B}^X) + \ell(w_J)$ equals the Kostka number $K_{\lambda\mu}$.

Corollary 6.2 tells us that *some* of the irreducible components of parabolic Hessenberg varieties are indexed by certain standard tableaux, specifically, the standard tableaux that become semistandard under the degeneration map. However, this description does not characterize *all* irreducible components, as the following example demonstrates.

Example 6.3. Let $X \in \mathfrak{gl}_4(\mathbb{C})$ be a nilpotent matrix of Jordan type $\lambda = (2, 1, 1)$ so dim $(\mathcal{B}^X) = 3$. Let $\mu = (2, 2)$ so $J = J_{\mu} = \{\alpha_1, \alpha_3\}$ and $w_J = s_1 s_3$. Note that $K_{\lambda\mu} = 0$ in this case, meaning dim $(\mathcal{B}(X, \mathfrak{p}_J)) < \dim(\mathcal{B}^X) + \ell(w_J) = 5$ by Corollary 6.2. Taking X as in Definition 2.8 we obtain $\Phi_X = \{\alpha_3\}$ and

$$W(X,J) = \{e, s_2, s_1s_2, s_2s_1s_3s_2\}.$$

Consider the points $v_1 = s_1 s_2$ and $v_2 = s_2 s_1 s_3 s_2$. The table below displays the corresponding elements of $RST(\lambda)$ and $RSST(\lambda)$, and computes $v_T w_J$ in each case.

$v \in W(X,J)$	$T\in \mathrm{RST}(\lambda)$	$\phi_{\lambda,\mu}(T) \in \mathtt{RSST}(\lambda)$	v_T	$v_T w_J$
$v_1 = s_1 s_2$	$\begin{array}{c c}2 & 4\\\hline 1\\\hline 3\end{array}$	$ \begin{array}{c c} 1 & 2 \\ \hline 1 \\ 2 \end{array} $	$s_{1}s_{2}$	$s_1 s_2 s_1 s_3$
$v_2 = s_2 s_1 s_3 s_2$	$ \begin{array}{c c} 1 & 2 \\ 4 \\ 3 \end{array} $	$ \begin{array}{c c} 1 & 1 \\ 2 \\ 2 \end{array} $	$s_{3}s_{2}$	$s_3 s_2 s_1 s_3$

We claim that $\overline{C_{v_1w_J} \cap \mathcal{B}(X, \mathfrak{p}_J)}$ and $\overline{C_{v_2w_J} \cap \mathcal{B}(X, \mathfrak{p}_J)}$ are the irreducible components of $\mathcal{B}(X, \mathfrak{p}_J)$. We know $\dim(\overline{C_{v_1w_J} \cap \mathcal{B}(X, \mathfrak{p}_J)}) = \ell(v_T) + \ell(w_J) = 4$ from our analysis of parabolic Hessenberg varieties. This is the same as $\dim(C_{v_1w_J})$ so in fact $C_{v_1w_J} \subseteq \mathcal{B}(X, \mathfrak{p}_J)$. Thus

$$\overline{C_{v_1w_J} \cap \mathcal{B}(X, \mathfrak{p}_J)} = \overline{C}_{v_1w_J} = \bigsqcup_{w \le v_1w_J} C_w = \bigsqcup_{w \le v_1w_J} C_w \cap \mathcal{B}(X, \mathfrak{p}_J).$$

Since $v_2w_J \nleq v_1w_J$ and the Hessenberg Schubert cells corresponding to v_2w_J and v_1w_J have the same dimension, neither of $\overline{C_{v_1w_J} \cap \mathcal{B}(X, \mathfrak{p}_J)}$ and $\overline{C_{v_2w_J} \cap \mathcal{B}(X, \mathfrak{p}_J)}$ can contain the other. Since $vw_J \le v_1w_J$ for all other $v \in W(X, J)$, we conclude

$$\mathcal{B}(X,\mathfrak{p}_J) = \overline{C}_{v_1w_J} \cup (\overline{C_{v_2w_J}} \cap \mathcal{B}(X,\mathfrak{p}_J)).$$

In particular, note that neither irreducible component corresponds to a standard (or semistandard) tableau of shape λ .

Our partial description of the irreducible components of $\mathcal{B}(X,\mathfrak{p}_J)$ leads to the following question.

Question 6.4. Suppose $\pi_J(\mathcal{B}^X)$ is paved by Steinberg Schubert cells. Give a combinatorial description of those $v \in W(X, J)$ for which $\overline{C_v^{P_J} \cap \pi_J(\mathcal{B}^X)}$ is an irreducible component of the Steinberg variety.

Any answer to this question would also compute the irreducible components of the corresponding parabolic Hessenberg variety. Motivated by Example 6.3, one possibility is that $\overline{C_v \cap \pi_J(\mathcal{B}^X)}$ is an irreducible component of $\pi_J(\mathcal{B}^X)$ if the Schubert point v_T corresponding to v is a maximal in the set $\{v_T \mid v \in W(X, J)\}$. We have not been able to find a counterexample to this conjecture, but suspect that there is one.

In addition, an answer to Question 6.4 would extend the known characterization of components of the Springer fibers in type A. It appears, too, to require a deep analysis of the set W(X, J) as well as its connection to the geometry of the Steinberg variety.

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DEPARTMENT OF MATHEMATICS AND STATISTICS, WASHINGTON UNIVERSITY IN ST. LOUIS, ONE BROOKINGS DRIVE, ST. LOUIS, MISSOURI 63130, U.S.A.

 $Email \ address: \verb"martha.precup@wustl.edu"$

DEPT. OF MATHEMATICS, SMITH COLLEGE, NORTHAMPTON, MASSACHUSETTS 01063, U.S.A. *Email address:* jtymoczko@smith.edu